

AGENDA SUPPLEMENT 1

Meeting: Schools Forum

Place: The Usher Suite - Civic Centre, St Stephens Place, Trowbridge.
BA14 8AH

Date: Thursday 3 October 2013

Time: 1.30 pm

Briefing Arrangements:

Briefing will be held at 11:30 am in the; Usher Suite, Civic Centre, Trowbridge, and will focus on the consultation outcomes on the funding formula.

Please direct any enquiries on this Agenda to Samuel Bath, of Democratic Services, County Hall, Bythesea Road, Trowbridge, direct line 01225 718211 or email samuel.bath@wiltshire.gov.uk

Press enquiries to Communications on direct lines (01225)713114/713115.

This Agenda and all the documents referred to within it are available on the Council's website at www.wiltshire.gov.uk

Membership:	Representing:
Mr N Baker	PHF, Christ Church CE Primary School
Mrs Aileen Bates	WGA - SEN Governor Representative
Dr Peter Biggs	WGA, Secondary School Governor Representative
Mrs Julia Bird	PHF, Southwick Primary School
Mr Andy Bridewell	Ludgershall Castle Primary School (PHF Vice-Chair)
Mr Steve Clark	Maintained Secondary - Melksham Oak Community School
Mrs A Ferries	WGA, St Patrick's Catholic Primary School
Mrs Jane Franchi	Salisbury Diocesan Board of Education
Mr Tim Gilson	Academy, Malmesbury School
Jan Hatherell	Academy, Hardenhuish School
Mrs Sue Jiggins	WGA - Primary Governor Representative
Mr Michael Keeling	Early Years Representative
Mr J Proctor	Early Years Representative (PVI)
Ms I Sidmouth	SEN Sector, Rowdeford School
Mr Martin Watson	Academy, Lavington School
Mrs C Williamson	PHF, Mere Primary School

AGENDA

9 **Budget Monitoring 2013-14 and Final DSG Settlement** (*Pages 1 - 4*)

To confirm the final DSG settlement for 2013-14, and to present budget monitoring information as stated at August 2013. The Schools Forum will also be asked to note the report on Growth Fund. (*Report attached*)

10 **Reports from Working Groups** (*Pages 5 - 10*)

To receive minutes, reports and/or verbal updates from the following working groups:

- School Funding Working Group (*Report attached*)
- SEN working Group
- Early Years Reference Group
- Schools Services Working Group (*verbal update*)

11 **Schools Revenue Balances 2012-13** (*Pages 11 - 26*)

To receive a report on the position of the Schools Revenue Balance for 2012-13.

(*Report attached*)

12 **Schools Funding Formula 2014-15: Outcome of consultation with Schools**
(*Pages 27 - 62*)

To note a report detailing the outcome of a consultation on lump sum and central services.

(*Report attached*)

13 **Minimum Funding Guarantee Exceptions 2014/15** (*Pages 63 - 68*)

A report outlining the proposed formula exceptions and MFG exclusions for the 2014-15 formula

(*Report attached*)

DEDICATED SCHOOLS BUDGET – BUDGET MONITORING 2013-14

Purpose of the Report

1. To present budget monitoring information against the Dedicated Schools Grant (DSG) for the financial year 2013-14 as at 31st August 2013.

Main Considerations

2. Appendix 1 to this report outlines the budget monitoring summary as at 31st August 2013. At this point in the year an underspend of £2.882 million is projected against the overall schools budget. Key variances are as follows:
 - a. *Early Years Free Entitlement for 3 & 4 year olds* – an overspend of £0.197 million is projected against the Early Years Single Funding Formula (EYSFF) for 3 and 4 year olds. The January 2013 early years census indicates reduced take up of places for 3 year olds but this does not seem to be reflected in the current data on take up.
 - b. *Early Years Free Entitlement for 2 year olds* – an underspend of £0.826 million is projected against the EYSFF for 2 year olds. This is a planned underspend and will be rolled forward to 2014-15 to continue to support the agreed hourly rate for 2 year old places.
 - c. *Independent Special School (ISS) placements* – the ISS budget is projected to underspend by £1.391 million. This is based on known placements to date. Part of the underspend is due to a one off adjustment and it is thought that it also reflects the movement of budget and costs for post-16 learners in to the post-16 budget. Costs for post-16 students have been higher than budget in previous years.
 - d. *Top Up Budgets for Wiltshire schools and academies* – top up budgets for special schools, resource bases and enhanced learning provision (ELP) are projected to underspend by £1.196 million. In setting top up rates for 2013-14 it had been difficult to estimate the appropriate top up for each type of centre whilst estimating how much rates could be increased by to reflect savings on unfilled places. The underspend arises from savings on empty places. Proposals will be considered elsewhere on this agenda to revise top up rates for resource bases and ELP in 2014-15 and this is expected to reduce this underspend in future years. A proposal will also be considered elsewhere on this agenda to increase top up values for special schools in the current year in order to mitigate the impact of the implementation of the new funding methodology. This will be affordable within the current budgets.
 - e. *Named Pupil Allowances (NPAs)* – the NPA budget is projected to overspend by £0.131 million. This is based on all known pupils to date but is likely to increase before the year end.

Final Dedicated Schools Grant Settlement 2013-14

3. The final DSG settlement for 2013-14 has been issued by DfE. the final allocation for Wiltshire is £303.133 million prior to deductions for academies recoupment and direct funding of academy high needs places by EFA. This is an increase of £0.929 million compared with the provisional settlement. The changes to the settlement can be summarised as follows:

	Provisional Settlement	Final Settlement	Movement
	£m	£m	£m
Schools Block	249.269	249.269	0.000
High Needs Block	34.980	36.033	1.053
Early Years Block	17.935	17.811	0.124
	302.184	303.113	0.929

4. The changes to the Early Years block are to reflect the January 2013 census which would appear to indicate reduced take up of the free entitlement for 3 & 4 year olds, this is not supported by the projected spend to date and may be adjusted for again following the January 14 census.
5. The changes to the High Needs block reflect the final number of places agreed for specialist provision including post-16 provision in FE colleges and Independent Specialist Providers (ISPs) and a small adjustment to reflect former standards funds that are still paid separately to non-maintained special schools.
6. Following confirmation of the final DSG settlement the Chief Finance Officer is required to confirm to the DfE that the Local Authority's allocated 2013-14 Dedicated Schools Grant published by the Education Funding Agency in July 2013, and modified by any positive or negative DSG balance brought forward from 2012-13, will be fully allocated in support of the Schools Budget in 2013-14. The CFO is also required to confirm that this has been agreed at a meeting of the Schools Forum.

Proposals

7. Schools Forum is asked to note the budget monitoring position at the end of August 2013.
8. Schools Forum is asked to confirm to the DfE that the Local Authority's allocated 2013-14 Dedicated Schools Grant published by the Education Funding Agency in July 2013, and modified by any positive or negative DSG balance brought forward from 2012-13, will be fully allocated in support of the Schools Budget in 2013-14.

CAROLYN GODFREY
DIRECTOR, CHILDREN & EDUCATION

Report Author: Liz Williams, Head of Finance (DCE)

Tel: 01225 713675 e-mail: elizabeth.williams@wiltshire.gov.uk

Financial Monitoring

Service Areas	Approved Budget £m	Projected Outturn for £m	Variation for Year £m
1 Funding Schools			
DSG Funded Expenditure	254.797	254.797	0.000
Total	254.797	254.797	-
2 Schools & Learning Branch			
Independent Special Schools	3.999	2.607	-1.392
Named Pupil Allowances and Specialist Provision	1.439	1.570	0.131
Top Up Budgets - Maintained Schools & Academies	8.935	7.739	-1.196
Top Up Budgets - Post- 16 Placements	3.411	3.344	-0.067
Specialist SEN Service	0.800	0.800	0.000
Sensory Service	0.522	0.522	0.000
Behaviour Support	0.777	0.777	0.000
Other SEN & Inclusion Services	0.392	0.392	0.000
Total SEN & Inclusion	20.274	17.750	-2.524
Ethnic Minority Achievement Service	0.309	0.309	0.000
Travellers Education Service	0.177	0.177	0.000
Alternative Provision/EOTAS	2.857	2.857	0.000
Strategic Planning	0.036	0.036	0.000
Admissions Service	0.261	0.261	0.000
Total School Effectiveness	3.639	3.639	0.000
Early Years Single Funding Formula - 3 & 4 yo	14.926	15.123	0.197
Early Years Single Funding Formula - 2 yo	2.059	1.233	-0.826
Other Early Years Services	1.925	1.925	0.000
Total Early Years & Childcare	18.910	18.281	-0.629
Total Schools & Learning	42.823	39.670	- 3.153
3 Commissioning & Performance			
Schools Maternity Costs	0.576	0.615	0.040
Trades Union Facilities Costs	0.035	0.035	0.000
SIMS Licence	0.138	0.127	-0.011
Other Licences	0.193	0.245	0.052
Total	0.941	1.022	0.081
4 Safeguarding			
Child Protection in Schools	0.028	0.028	0.000
Total	0.028	0.028	-
5 Social Care & Integrated Youth			
QES	0.042	0.042	0.000
Assisted Places Scheme	0.047	0.047	0.000
Looked After Children Education Service	0.150	0.340	0.190
Total	0.240	0.430	0.190
6 DSG Within Corporate Services			
Gross Expenditure	4.284	4.284	0.000
Total	4.284	4.284	-
	303.113	300.231	- 2.882

Note POSITIVE variances = OVERSPEND

0.000

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Wiltshire Council

Schools Forum
3rd October 2013

Report from the School Funding Working Group

Purpose of report

1. To report on the meeting of the School Funding Working Group held on 27th September 2013.

Main considerations for School Forum

2. The draft minutes of the meeting are attached at Appendix 1.
3. The Group agreed the following recommendations to be made to Schools Forum to be considered at the meeting on 3rd October 2013.

4. **Minimum Funding Guarantee Exceptions 2014-15**

The School Funding Working Group considered a report on the proposed application to disapply the MFG in 2014-15. Because the deadline for submissions was 30th September, prior to the Schools Forum meeting, the following applications were approved:

1. **New school allowances and new school new year group funding:** To seek DfE approval to remove this funding from the MFG.
2. **Rents, where the school no longer qualifies under the revised funding proposals** - To seek approval from the EFA 1) to decrease the qualifying threshold from 1% to 0.75% of school budgets, and 2) to continue to remove rent from the MFG calculation.
3. **Split site funding where a school no longer qualifies** - To seek approval from the EFA to remove split site funding from the MFG where a school no longer qualifies under the revised definition.
4. **Changes categories of, or spending on, central budgets** – To seek approval from the EFA to exclude new additional categories or changes in spend on central services budgets should the consultation recommend such changes to the delegation or de-delegations.

5. **Schools with special units** – to seek approval from the EFA to amend the baselines of schools with special units to reflect the new deduction of places from the number on roll, rather than the number of pupils, in order to calculate MFG protection on a consistent basis.

6. **Requests to vary the protection for special schools and academies** – to seek approval from the EFA to allow amendment to the baseline of special schools and academies in order to not overfund the school via the MFG mechanism as day and residential pupil numbers have changed.

Proposals

5. That Schools Forum note the minutes of the School Funding Working Group and the recommendations outlined above.

Carolyn Godfrey
Corporate Director

Report author: Liz Williams, Head of Finance
01225 713675
Elizabeth.williams@wiltshire.gov.uk

Schools Funding Working Group
27th September 2013
Minutes

Present: Liz Williams, Grant Davis, Neil Baker, Steve Clark, Martin Watson, Phil Cooke, Andy Bridewell

Apologies: Catriona Williamson, John Hawkins

	Item	Action
1	<p>Minutes from Previous Meeting</p> <p>The minutes of the previous meeting were reviewed. The minutes had been considered by Schools Forum and there were no matters arising.</p>	
2	<p>Consultation outcome and formula issues</p> <p>GD circulated a summary of the outcomes of the recent consultation with schools. Overall the response rate had been 44.3% which was lower than in the previous year.</p> <p>Delegation of Central Services In general maintained primary schools had voted with a majority to retain services centrally with the results a little more mixed at secondary level in relation to EMAS, Travellers and Behaviour Support. These services have already been delegated to secondary schools.</p> <p>Primary Lump Sum There was an even split of responses in favour of each option for the lump sum with responses tending to be influenced by size of school. The working group requested that more detail be provided for Schools Forum on the cost per pupil for different sizes of school under each option.</p> <p>Secondary Lump Sum The majority of secondary schools favoured the higher lump sum of £175,000.</p>	EW
3	<p>Final DSG settlement & Budget Monitoring 2013-14</p> <p>EW presented a budget monitoring report showing the projected expenditure against the Dedicated Schools Grant. Overall it is projected that there will be an underspend of £2.8 million.</p> <p>It was noted that the projected underspend of £0.8m against the free entitlement for 2 year olds was a planned underspend and would be rolled forward to 2014-15 to support the hourly rate as take up increases.</p> <p>The largest underspend related to top up budgets for placements in maintained school and academy specialist provision (Special Schools, Resource Bases and ELP) and the Independent Special School (ISS) budget. Underspends against top up budgets had arisen because of unfilled places. EW outlined proposals to increase the top up rates for Resource Bases and ELP in 2014-15 which would mean this underspend would not arise in future years – these proposals would be presented to Schools Forum.</p>	

	<p>EW also reported that recent discussions had been held with Special School head teachers and governors at which it had been agreed that work needed to be carried out to review top up values for 2014-15 but that some work would also be done to establish whether top up values could be increased in year. This would be affordable within the current budget and proposals were being looked at. a number of options had been considered by the SEN Working Group and a key issue is to ensure that any in year increase does not have unintended consequences in 2014-15 as a result of the minimum funding guarantee – this would be particularly relevant if residential place numbers are reviewed. It was agreed that proposals will be presented to Schools Forum.</p>	
4	<p>Revenue Balances 12-13</p> <p>The group considered 2 reports in relation to revenue balances for 2012-13. The first report summarised the Intended Use of Revenue Balances statements submitted by schools at the end of the 2012-13 financial year. There was one schools that had not submitted a return, despite this being followed up, and it was agreed that the surplus balance for that school should be clawed back. The school would be notified of the clawback and invited to appeal.</p> <p>The second report summarised revenue balances for all maintained schools for 2012-13. Total balances now stand at £7.9 million which is a decrease on the previous year. It was noted that the report now included details of the DfE criteria for requesting further information on levels of balances or deficits in schools. Wiltshire did not trigger any of the DfE thresholds for 2012-13.</p> <p>It was further noted that this would be the last year of the Controls on Surplus Balances scheme and that proposals for a revised monitoring system would be brought to a future meeting.</p>	EW/GD
5	<p>MFG Exceptions 14-15</p> <p>A report detailing requests to disapply the minimum funding guarantee in 2014-15 was considered by the Group. The deadline for submissions is 30th September and so the recommendations would need to be agreed by the group so that the deadline could be met.</p> <p>It was agreed that the following exceptions should be requested:</p> <ol style="list-style-type: none"> 1. New school allowances and new school new year group funding: To seek DfE approval to remove this funding from the MFG. 2. Rents, where the school no longer qualifies under the revised funding proposals - To seek approval from the EFA 1) to decrease the qualifying threshold from 1% to 0.75% of school budgets, and 2) to continue to remove rent from the MFG calculation. 3. Split site funding where a school no longer qualifies - To seek approval from the EFA to remove split site funding from the MFG where a school no longer qualifies under the revised definition. 	EW

	<p>4. Changes categories of, or spending on, central budgets – To seek approval from the EFA to exclude new additional categories or changes in spend on central services budgets should the consultation recommend such changes to the delegation or de-delegations.</p> <p>5. Schools with special units – to seek approval from the EFA to amend the baselines of schools with special units to reflect the new deduction of places from the number on roll, rather than the number of pupils, in order to calculate MFG protection on a consistent basis.</p> <p>6. Requests to vary the protection for special schools and academies – to seek approval from the EFA to allow amendment to the baseline of special schools and academies in order to not overfund the school via the MFG mechanism as day and residential pupil numbers have changed.</p>	
6	<p>AOB</p> <p>None raised</p>	
7	<p>Date of Next Meeting</p> <p>Monday 2nd December 2pm, Uffington Room, Kennet House, Devizes</p>	

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SCHOOLS REVENUE SURPLUS AND DEFICIT BALANCES 2012/13

Introduction

1. This report presents the position of revenue balances of Wiltshire maintained schools as at 31st March 2013 and identifies those that are in deficit.
2. Members last considered a report on schools' balances and deficits in October 2012. In that report, 19 schools were in deficit with a total value of £2.005 million and the value of surpluses was £10.767 million.
3. A Controls on Surplus Balances Scheme is in place for the 2012/13 financial year which applies permissible limits to school balances as follows:
 - Secondary schools - up to 5% of school budget share
 - Primary and special schools - the greater of 8% of school budget share or £10,000

Any surplus balances in excess of the above limits may be clawed back and redistributed to the sector from which they arose.

Main Considerations

4. The movement in net revenue balances over the past 3 financial years is shown in the following table:-

	2010/11	2011/12	2012/13	2012/13 Balances as % of 2012/13 Budget Share	Increase/ Decrease from 2011/12	Increase / Decrease from 2011/12
	£	£	£	%	£	%
Primary	6,393,619	7,932,379	7,499,409	4.69	-432,970	-5.46
Secondary	3,638,915	-238,132	-367,569	-0.23	-129,437	-54.36
Special	1,051,587	1,068,324	814,200	0.51	-254,124	-23.79
	<u>11,084,121</u>	<u>8,762,571</u>	<u>7,946,040</u>	<u>4.97</u>	<u>-816,531</u>	<u>-9.31*</u>

*NB: this represents the total percentage decrease in all schools balances between 2011/12 and 2012/13

Note that the movement in balances for Secondary Schools in particular is impacted on by the number of schools that have converted to academy status over that period.

5. Appendices 1, 2 and 3 attached to this report summarise the overall position on schools' revenue balances and detail the position on deficits as at 31st March 2013.

The main points are:

- a) The net revenue balances now stand at £7.946 million and represents 4.97% of budget shares for 2012/13. This reflects a decrease of 9.31%, £0.816 million, when compared with 2011/12 net revenue balances of £8.763 million.

- b) 45 schools have revenue balances above the permissible limits, these totalling £4.37 million. This indicates that 22.5% of schools are holding 55% of all revenue balances.

Under the Controls on Surplus Balances Scheme, schools with balances above the permissible limits are required to submit an Intended Use of Revenue Balances return. These have been scrutinised by officers to ensure that funds have been properly assigned and are summarised at Appendix 3. As follows:

Total revenue balances (a)	£4,371,367
Specific reserves:	
Planned capital investments met from revenue	£1,036,384
Ring fenced grants	£301,725
Pupil 'trigger' funding notified late in the year	£72,840
Unspent Pupil Premium Grant	<u>£254,209</u>
Less balances held for specific reserves (b)	£1,665,158
Leaving a general balance of (c)	£2,706,209

Where an individual school shows an excess balance above the permissible threshold, the local authority (LA) may claw back the balance. The School Funding Working Group has considered a detailed report which indicates that an excess balance of £28,644 (Appendix 3, column e) for one school should be subject to the claw back mechanism. This school should now be sent a letter advising them of the position and giving them the opportunity to appeal.

- c) The number of schools in deficit is 16 with a total value of £1.212 million. This reflects a decrease in numbers and value of 3 and £0.793 million respectively when compared to 2011/12, as detailed in paragraph 2 above.
- d) Schools converting to academy status post 31st March 2013 are included in the analysis of net revenue balances. Of these, one had balances above the permissible threshold but, as it was now outside the Wiltshire Controls Scheme, was not required to complete the return.
6. With effect from April 2011, the DfE removed the requirement for LA's to have a clawback mechanism but advised that they could choose to continue with their existing arrangements. Following consultation with all maintained schools, Schools Forum agreed a revision to the Wiltshire scheme for funding schools to withdraw the control mechanism on surplus balances with effect from the 2013/14 financial year.
7. In April 2012, the DfE published a consultation on strengthening the assurance system for financial management in LA maintained schools. The proposals were designed to help ensure that authorities, and their schools, are managing the vast sums of public money they receive with propriety, securing value for money across all spending.

Having considered the responses, the DfE has now confirmed that on receipt of the 2012/13 outturn data they will be requesting LA's to provide additional information where they have areas for concern. The criteria are as follows:

- a) The LA has overspent its Dedicated Schools Grant by 2% or more (i.e. it is 2% or more in deficit)
- b) The LA has underspent its Dedicated Schools Grant by 5% or more (i.e. it is 5% or more in surplus)

- c) The LA has 2.5% of its schools that have been in deficit of 2.5% or more for the last 4 years and their individual deficit must have been at least £10,000 each year. LAs will only be asked for more information where at least three schools meet the criteria
- d) The LA has 5% of schools that have had a surplus of 15% or more for the last 5 years and their individual surplus must have been at least £10,000 each year. LAs will only be asked for more information where at least three schools meet the criteria

Criteria (c) and (d) are pertinent to this report and an analysis of the Wiltshire LA position on each is included at Appendix 4 and 5 respectively. This indicates that the Wiltshire outturn data for 2012/13 would not trigger further enquiry from the DfE.

Recommendations

- 11. Schools Forum members are invited to comment on this report.

Carolyn Godfrey
Corporate Director

Report Author: Jane Ralph
School Strategic Financial Management Adviser
Contact: Tel.: 01225 718569

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Analysis of revenue balances 2012/13

Appendix 1

Type of School	Balances above permissible limit				Reasonable balances				Deficit balances			
	Number	Balance value	2012-13 Budget Share	Balance as % of Budget	Number	Balance value	2012-13 Budget Share	Balance as % of Budget	Number	Balance value	2012-13 Budget Share	Balance as % of Budget
Primary	43 *1	3,587,067	30,580,913	11.7%	131 *2	4,059,880	81,436,597	5.0%	12 *1	-147,538	5,391,791	-2.7%
Secondary	1	325,000	5,622,166	5.8%	4	372,053	13,265,649	2.8%	4	-1,064,622	14,480,736	-7.4%
Special	1	459,300	1,814,530	25.3%	4	354,900	7,158,452	5.0%	0	0	0	0.0%
Total	45	4,371,367	38,017,609	11.5%	139	4,786,832	101,860,698	4.7%	16	-1,212,160	19,872,527	-6.1%

* denotes those schools that have converted to academy status since 31st March 2013

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Deficits as a % of positive balances

	Deficits	Positive balances	Deficits as a % of +ve balances
Primary	-147,538	7,646,947	1.9%
Secondary	-1,064,622	697,053	152.7%
Special	0	814,200	0.0%
Total	-1,212,160	9,158,200	13.2%

Classification of balances

Above permissible limit:	balances in excess of 5% of school budget share for secondary schools balances in excess of 8% of budget share or £10k (whichever is higher) for primary and special schools
Reasonable:	positive and below permissible limit
Deficits:	negative

Schools with revenue balances above the permissible threshold 2012/13

Appendix 3

DfE No	School name	(a) Revenue balance	(b) Amount earmarked for specific purpose	(c) General balance	(d) Permissible threshold	(e) Excess balance subject to clawback	(f) Excess balance not subject to clawback	School phase
2003	Fynamore	£145,638	£58,428	£87,210	£105,395			Primary
2005	Nursteed	£84,677	£26,796	£57,881	£59,047			Primary
2023	St Paul's	£149,594	£94,208	£55,386	£69,248			Primary
2029	Lypiatt	£36,797	£17,925	£18,872	£19,667			Primary
2037	Southbroom Infant's	£76,400	£45,418	£30,982	£61,716			Primary
2053	Horningsham	£29,719	£3,029	£26,690	£27,845			Primary
2087	Ramsbury	£60,293	£26,000	£34,293	£57,626			Primary
2140	Wootton Bassett Inf	£54,229	£16,574	£37,655	£37,655			Primary
2162	Noremars Junior	£66,722	£20,794	£45,928	£45,928			Primary
2191	Manor Fields	£77,665	£8,372	£69,293	£71,798			Primary
2196	Holbrook	£70,879	£35,257	£35,622	£66,018			Primary
2198	Ludwell	£31,034	£7,151	£23,883	£24,145			Primary
2218	Kings Lodge	£168,821	£74,156	£94,665	£102,694			Primary
2222	Walwayne Court	£79,248	£23,955	£55,293	£73,468			Primary
2223	Bowerhill	£119,520	£0	£119,520	£90,876	£28,644		Primary
2226	Charter	£114,587	£34,000	£80,587	£80,949			Primary
2228	Queen's Crescent	£145,641	£60,321	£85,320	£90,414			Primary
2230	Longmeadow	£82,964	£0	£82,964	£45,471		£37,493	Primary*
3013	Box CE	£141,744	£115,000	£26,744	£47,864			Primary
3020	St Nicholas	£40,172	£15,853	£24,319	£30,447			Primary
3045	St Sampson's Junior	£70,562	£20,000	£50,562	£55,067			Primary
3047	Crockerton	£38,992	£5,000	£33,992	£34,451			Primary
3049	Collingbourne	£48,755	£21,500	£27,255	£35,925			Primary
3090	Holt	£60,315	£30,000	£30,315	£37,596			Primary
3091	Hullavington	£45,015	£10,921	£34,094	£37,965			Primary
3096	Kington St Michael	£40,100	£35,000	£5,100	£36,852			Primary
3104	Lea & Garsden	£40,200	£3,500	£36,700	£36,705			Primary
3141	Oare	£67,120	£50,000	£17,120	£30,101			Primary
3158	Harnham Junior	£86,878	£18,210	£68,668	£71,052			Primary
3163	Sherston	£57,700	£4,700	£53,000	£53,124			Primary
3190	St John's, Warminster	£93,236	£88,494	£4,742	£38,120			Primary
3243	Great Bedwyn	£91,949	£47,977	£43,972	£57,335			Primary
3316	Chapmanslade	£37,696	£9,115	£28,581	£33,285			Primary
3344	Forest & Sandridge	£102,556	£70,324	£32,232	£54,899			Primary
3372	New Forest	£60,798	£15,000	£45,798	£54,710			Primary
3387	St Martin's	£61,873	£26,269	£35,604	£48,103			Primary
3453	Chilmark	£37,367	£2,199	£35,168	£35,279			Primary
3472	Bellefield	£166,078	£86,860	£79,218	£79,302			Primary
4013	The Oak	£325,000	£44,000	£281,000	£281,108			Secondary
5206	Studley Green	£124,413	£35,055	£89,358	£91,665			Primary
5215	Ludgershall Castle	£85,316	£35,068	£50,248	£65,720			Primary
5218	Clarendon Junior	£100,157	£26,109	£74,048	£82,966			Primary
5219	Clarendon Infants	£180,613	£98,449	£82,164	£82,164			Primary
5225	The Avenue	£113,034	£37,121	£75,913	£85,815			Primary
7007	Downland	£459,300	£161,050	£298,250	£333,874			Special
45		£4,371,367	£1,665,158	£2,706,209	£3,061,454	£28,644	£37,493	

	£	%
Primary	3,504,103	80.16%
Secondary	325,000	7.43%
Special	459,300	10.51%
*Converted to academy post April 2013	82,964	1.90%
	4,371,367	100.00%

Analysis of schools that have been in a deficit position in the last four years, i.e. 2009/10 to 2012/13

DfE No. School	Type	2009/10		2010/11		2011/12		2012/13		Notes
		Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS	
2006 The Mead	Primary	0	-	0	-	0	-	n/a	n/a	Academy May 2012
2009 Bratton	Primary	-19,997	3.6	-11,075	2.0	0	-	-382	0.1	
2037 Southbroom Infants	Primary	-9,531	2.0	-1,105	0.2	0	-	0	-	
2076 Odstock Primary School	Primary	-10,782	5.8	0	-	0	-	0	-	
2157 Wyndham Park	Primary	-5,269	0.8	0	-	0	-	0	-	
2159 Kiwi School	Primary	-2,999	0.6	-4,803	1.1	-18,165	4.1	-21,365	4.5	
2170 Grove Primary School	Primary	0	-	-5,592	0.4	0	-	0	-	
2190 Woodlands	Primary	0	-	0	-	0	-	0	-	
2191 Manor Fields	Primary	0	-	-589	0.1	0	-	0	-	
2192 Pembroke Park	Primary	0	-	0	-	0	-	-9,878	1.4	
2208 Pewsey Primary	Primary	0	-	0	-	0	-	0	-	
3017 Britford C of E	Primary	0	-	0	-	0	-	0	-	
3022 Bulford C E	Primary	0	-	-42,671	7.0	-49,606	6.9	0	-	
3030 St Dunstan	Primary	-31,174	2.7	-19,779	1.8	0	-	0	-	
3049 Collingbourne CE	Primary	0	-	0	-	0	-	0	-	
3061 Durrington All Saints	Primary	0	-	0	-	0	-	-3,429	0.6	
3094 Keevil	Primary	0	-	0	-	-8,014	2.2	-11,404	3.0	
3134 Newton Tony	Primary	0	-	-7,648	4.3	0	-	0	-	
3140 Oaksey CE VC	Primary	0	-	0	-	0	-	0	-	
3160 St. Georges CE	Primary	0	-	0	-	0	-	0	-	
3174 Sutton Veny CE	Primary	0	-	0	-	0	-	0	-	
3205 Warminster Sambourne	Primary	0	-	0	-	-6,394	1.2	0	-	
3222 St. Barnabas	Primary	0	-	-10,644	3.1	-8,293	2.0	-19,745	4.9	
3239 St John's CE	Primary	0	-	-12,443	4.0	-12,935	3.6	0	-	
3331 St Peter's, Devizes	Primary	0	-	0	-	-30,696	9.6	-11,367	2.7	
3352 Heytesbury C.E. (aided)	Primary	-5,145	2.1	-29,827	13.0	-40,094	15.8	-13,616	5.1	
3355 Idmiston C.E. (V.A.)	Primary	-29,178	11.5	-28,404	10.5	-4,899	1.3	0	-	
3388 Seend	Primary	0	-	0	-	-14,815	4.0	-22,592	5.7	
3396 St Thomas a Becket C.E.	Primary	-173	0.1	0	-	0	-	0	-	
3402 Whiteparish	Primary	0	-	0	-	-10,373	2.5	0	-	
3435 Wardour Catholic	Primary	-4,748	1.9	-17,538	6.2	-15,393	4.0	-23,408	5.7	
3448 Bemerton St Johns CE	Primary	-12,065	2.4	0	-	0	-	0	-	

Analysis of schools that have been in a deficit position in the last four years, i.e. 2009/10 to 2012/13

DfE No. School	Type	2009/10		2010/11		2011/12		2012/13		Notes
		Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS	
3449 Broad Chalke C of E Aided	Primary	0	-	0	-	0	-	0	-	
3450 Great Wishford	Primary	-12,839	3.4	-25,885	6.8	-10,235	2.3	-6,131	1.4	
3457 Walter Powell CE VA	Primary	0	-	0	-	0	-	0	-	
3464 Old Sarum	Primary	0	-	0	-	0	-	-4,219	1.1	
3466 The Manor C.E. School	Primary	-29,635	3.1	-40,194	4.0	0	-	n/a	n/a	Academy Sept 2012
3468 Amesbury Primary	Primary	0	-	-44,665	6.1	-2,626	0.3	0	-	
3471 Lyneham Primary	Primary	-24,497	2.3	-64,372	5.9	0	-	0	-	
4000 Abbeyfield	Secondary	0	-	0	-	-254,687	5.6	-312,628	7.1	
4001 Wyvern College	Secondary	-370,542	18.3	-308,436	15.5	-260,803	13.7	-164,634	9.3	See note below
4006 Trafalgar School	Secondary	0	-	0	-	0	-	0	-	
4069 The Clarendon School	Secondary	0	-	0	-	-30,020	0.5	n/a	n/a	Academy Dec 2012
4070 The Stonehenge School	Secondary	-5,407	0.2	0	-	0	-	0	-	
4071 Avon Valley College	Secondary	0	-	-43,031	1.3	-282,106	8.0	-547,981	-	
4511 St Edmunds Girls School	Secondary	-66	0.0	0	-	n/a	n/a	n/a	n/a	Academy Feb 2012
5210 Wingfield CofE	Primary	-21,655	10.8	0	-	0	-	0	-	
5218 Clarendon Junior School	Primary	0	-	-9,126	1.0	0	-	0	-	
5222 Rowde CE	Primary	-10,672	1.6	0	-	0	-	n/a	n/a	Academy Jan 2013
5224 All Saints VA Netheravon	Primary	0	-	0	-	0	-	0	-	
5403 Pewsey Vale School	Secondary	-4,389	0.3	0	-	n/a	n/a	n/a	n/a	Academy July 2011
5405 St Johns College	Secondary	-114,521	1.9	-366,809	5.7	-944,346	12.9	n/a	n/a	Academy Sept 2012
5412 South Wilts	Secondary	0	-	-74,145	2.3	0	-	0	-	
5415 Matravers	Secondary	0	-	0	-	0	-	-39,379	-	
5418 Salisbury High School	Secondary	0	-	-98,365	6.8	0	-	0	-	
		-725,285		-1,267,145		-2,004,500		-1,212,158		

Note: 1 school has been in deficit of 2.5%, or more, for each of the last 4 years and this equates to 0.5% of Wiltshire LA schools as at 31st March 2013. This is below the 2.5% threshold set by the DfE , see paragraph 8(c), and would not trigger an enquiry.

Schools with revenue balances exceeding the permissible limits of 5% & 8% for secondary and primary/special schools respectively for the last 5 years, i.e. 2008/09 to 2012/13

DfE No	School Name	2008/09	2009/10	2010/11	2011/12	2012/13
2003	Fynamore	✓			✓	✓
2004	Greentrees	✓	✓			
2005	Nursteed	✓				✓
2006	The Mead		✓			
2008	Fitzmaurice			✓		
2022	Ivy Lane	✓	✓	✓	✓	
2023	St Paul's	✓			✓	✓
2029	Lypiatt	✓	✓	✓	✓	✓
2031	Neston				✓	
2032	Corsham Regis	✓	✓			
2037	Southbroom Infant's				✓	✓
2040	Easton Royal	✓				
2045	Gomeldon	✓				
2052	Hilmarton				✓	
2053	Horningsham				✓	✓
2063	Lyneham Junior					
2065	Larkhill		✓	✓	✓	
2076	Odstock	✓				
2086	Stanton St Quintin					
2087	Ramsbury	✓	✓		✓	✓
2091	Harnham Infants				✓	
2126	Margaret Stancomb					
2129	Upavon					
2134	New Close					
2136	Westbury Infants				✓	
2137	Westwood-with-lford	✓	✓	✓		
2140	Wootton Bassett Infants	✓		✓		✓
2158	Lyneham Infants					
2159	Kiwi Primary					
2162	Noremarsh	✓	✓			✓
2178	Princecroft	✓	✓			
2180	Redland	✓			✓	
2184	Longleaze					
2185	Mere					
2191	Manor Fields					✓
2193	Wansdyke					
2196	Holbrook	✓	✓			✓
2198	Ludwell	✓		✓	✓	✓
2202	St Sampson's Infants					
2216	Burbage	✓	✓			
2218	Kings Lodge			✓	✓	✓
2222	Walwayne Court			✓	✓	✓
2223	Bowerhill				✓	✓
2225	Bitham Brook					
2226	Charter	✓		✓	✓	✓
2227	Newtown	✓	✓			
2228	Queens Crescent	✓			✓	✓
2230	Trowbridge Longmeadow	✓	✓		✓	✓
3000	All Cannings	✓	✓			
3013	Box Primary	✓	✓	✓	✓	✓
3017	Britford		✓			
3018	Broad Hinton		✓	✓	✓	
3019	Broad Town	✓	✓			
3020	St Nicholas, Chippenham	✓				✓
3021	Broughton Gifford, St Mary's	✓				

Schools with revenue balances exceeding the permissible limits of 5% & 8% for secondary and primary/special schools respectively for the last 5 years, i.e. 2008/09 to 2012/13

DfE No	School Name	2008/09	2009/10	2010/11	2011/12	2012/13
3023	St Katharine's	✓				
3035	Cherhill			✓	✓	
3036	Chirton	✓	✓			
3038	Christian Malford	✓				
3040	Colerne	✓	✓			
3045	St Sampson's Junior				✓	✓
3047	Crockerton	✓	✓	✓		✓
3049	Collingbourne				✓	✓
3056	Southbroom Junior				✓	
3063	Durrington Junior	✓				
3071	Figheldean					
3078	Grafton	✓				
3086	Heddington	✓				
3088	Hilperton	✓				
3090	Holt	✓	✓	✓	✓	✓
3091	Hullavington				✓	✓
3094	Keevil	✓	✓			
3096	Kington St Michael					✓
3100	Lacock		✓			
3102	Langley Fitzurse	✓				
3104	Lea and Garsdon					✓
3110	Lydiard Millicent	✓	✓	✓	✓	
3123	St Mary's Infant, Marlborough	✓				
3134	Newton Tony					
3135	North Bradley	✓				
3141	Oare				✓	✓
3143	Ogbourne St George & St Andrew	✓				
3149	Preshute	✓				
3150	St Mary's, Purton					
3158	Harnham Junior				✓	✓
3159	Seagry					
3160	St George's, Semington					
3161	Shalbourne	✓			✓	
3162	Shaw	✓				
3163	Sherston					✓
3164	Shrewton					
3166	Southwick	✓	✓			
3176	St Mark's, Salisbury	✓				
3186	Urchfont Church of England					
3190	St John's, Warminster				✓	✓
3191	The Minster				✓	
3199	Winsley Church of England	✓				
3205	Sambourne					
3207	Dilton Marsh	✓			✓	
3216	St Peter's, Marlborough	✓	✓	✓	✓	
3220	Minety Church of England			✓	✓	
3230	Dinton CofE First School					
3232	Landford CofE					
3239	St John's Church of England, Tisbury					
3242	Brinkworth Earl Danby's	✓				
3243	Great Bedwyn Church of England	✓	✓	✓	✓	✓
3306	Baydon St Nicholas					
3316	Chapmanslade Church of England	✓				✓
3318	Chilton Foliat Church of England	✓				
3319	St Peter's, Chippenham	✓				

Schools with revenue balances exceeding the permissible limits of 5% & 8% for secondary and primary/special schools respectively for the last 5 years, i.e. 2008/09 to 2012/13

DfE No	School Name	2008/09	2009/10	2010/11	2011/12	2012/13
3330	Derry Hill	✓				
3331	St Peters Devizes					
3344	Forest and Sandridge	✓	✓	✓	✓	✓
3362	St Andrew's Church of England					
3372	New Forest					✓
3381	Rushall Church of England		✓			
3383	Sarum St Paul's					
3387	St Martin's Church of England	✓				✓
3396	St Thomas a Beckett	✓				
3400	West Ashton	✓	✓	✓	✓	
3405	Winterslow				✓	
3407	Woodford Valley Church of England	✓	✓			
3418	St Joseph's			✓	✓	
3430	St John's Trowbridge				✓	
3437	St Patrick's	✓		✓		
3453	Chilmark				✓	✓
3454	Semley Church of England					
3456	The Holy Trinity Church of England	✓				
3459	St Mary's and St John's					
3460	Alderbury and West Grimstead					
3461	Kennet Valley Church of England	✓	✓	✓		
3462	Amesbury Archer					
3465	Wylve Valley	✓		✓		
3466	The Manor	✓				
3467	Churchfields The Village School	✓	✓		✓	
3468	Amesbury Primary	✓				
3469	Five Lanes	✓			✓	
3470	Wilton and Barford	✓			✓	
3472	Bellefield	✓	✓		✓	✓
4000	Abbeyfields, Chippenham	✓	✓			
4006	Trafalgar		✓			
4013	The Oak					✓
4064	Malmesbury	✓	✓	✓		
4066	The Corsham School; Corsham					
4067	Wootton Bassett	✓				
4069	The Clarendon School		✓	✓		
4071	Avon Valley College	✓				
4072	Kingdown		✓	✓		
4075	John of Gaunt	✓	✓			
4537	St Laurence School	✓	✓	✓		
4610	St Joseph's					
5200	Aloeric	✓				
5201	Downton		✓	✓		
5205	Frogwell			✓	✓	
5206	Studley Green		✓	✓	✓	✓
5207	St George's	✓				
5212	Sutton Benger	✓				
5215	Ludgershall Castle Primary	✓	✓	✓	✓	✓
5216	Pitton	✓				
5217	Zouch Primary School; Tidworth					
5218	Clarendon Juniors	✓				✓
5219	Clarendon Infants	✓	✓	✓	✓	✓
5225	The Avenue			✓	✓	✓
5400	St Augustine's Catholic School	✓		✓		
5402	Lavington			✓		

Schools with revenue balances exceeding the permissible limits of 5% & 8% for secondary and primary/special schools respectively for the last 5 years, i.e. 2008/09 to 2012/13

DfE No	School Name	2008/09	2009/10	2010/11	2011/12	2012/13
5404	Sheldon School; Chippenham	✓	✓	✓		
5406	The John Bentley School; Calne	✓				
5408	Bradon Forest School; Swindon			✓		
5411	Devizes School; Devizes		✓	✓		
5412	South Wilts Grammar	✓				
5413	Bishop Wordsworth's Grammar					
5414	Hardenhuish	✓				
5415	Matravers					
7002	Rowdeford				✓	
7007	Downland School; Devizes		✓			✓
7008	Exeter House			✓		
7009	St Nicholas, Chippenham					
7010	Larkrise School; Trowbridge	✓	✓			
Total number schools		92	50	41	54	45

Note: 7 schools have had revenue balances in excess of the 5% and 8% permissible limits for each of the last five years and this equates to 3.5% of Wiltshire LA schools as at 31st March 2013. This is below the 5% threshold set by the DfE for a permissible limit of 15%, see paragraph 8(d), and would not trigger an enquiry.

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Wiltshire Council

Schools Forum
3rd October 2013

School Funding Reform – Outcomes of the Consultation with Schools on the Proposed Wiltshire Formula

Purpose of report

1. To inform Schools Forum of the outcome of the consultation with schools on the proposed changes to the Wiltshire funding formula and delegation of central budgets for 2014-15
2. To seek School's Forum's views on the proposed funding formula and the delegation of central budgets

Background

3. Under the funding reform proposals implemented by the DfE for 2013-14 a full review of the Wiltshire funding formula for schools was undertaken during the last financial year. The new formula was approved by Cabinet in October 2012 and all schools within Wiltshire, including academies, are now funded according to the new funding formula implemented for the 2013-14 financial year.
4. The government agreed to review the changes implemented for 2013-14 and consulted with schools and local authorities in March of this year. In June 2013 the DfE issued proposals for school funding in 2014-15. These proposals build on the changes to the funding formula implemented in 2013-14 and have been introduced following the review of the impact of the changes implemented in this year. The proposals are not intended to bring about large scale changes but are made in order to address any unintended consequences of the new funding model. It is important to note that the changes proposed by the DfE are part of the journey towards the development of a national funding formula for schools from 2015-16.
5. The main elements of the funding formula are left unchanged however there are 3 changes that local authorities could now incorporate within their local funding formulae for 2014-15. These are:
 - a. **Lump sum** – it is now possible to set differential lump sums for primary and secondary schools, with a maximum allowable lump sum of £175,000
 - b. **Pupil mobility** – a threshold has now been introduced to enable funding to be more targeted
 - c. **Sparsity** – a new factor can now be used to target funding at necessary small rural schools
6. The new proposals from DfE for 2014-15 are aimed at supporting schools in rural authorities and so Wiltshire Schools Forum considered

the implications of the new proposals to establish whether they should be incorporated in to the Wiltshire local funding formula. Following consideration of the potential financial impact of the proposals Schools Forum agreed to consult with schools on the implementation of a differential lump sum for primary and secondary schools. It was also agreed that the Wiltshire formula should not be amended to include mobility or sparsity factors. This is because the reversal of the national decision on the single lump sum gives the flexibility needed to take account of local needs and the complexity and constraints of the new mobility and sparsity factors have negative consequences locally

7. Maintained schools were also consulted on the potential delegation of budgets for a number of centrally provided services. Budgets for these services must be delegated to academies but maintained primary and secondary schools can opt for the budgets to be de-delegated so that they continue to be provided centrally.

Main Considerations for School Forum

Consultation with Schools

8. A consultation document was issued to all Wiltshire maintained schools and academies on 4th September 2013 with a response date of 23rd September 2013. A copy of the document is attached at Appendix 1.
9. Impact statements were provided for each school demonstrating the potential impact on the school had each model been applied in the current financial year. Each school received 1 impact statement to illustrate the combinations of options being consulted on ie.,
 1. Primary Schools – Lump Sum of £100,000 or £85,000
 2. Secondary Schools – Lump Sum of £175,000 or £100,000
10. A series of roadshows were held around the County in order to support schools in working through the document and to highlight the main issues and address questions.

Consultation Outcomes

11. The responses from schools are summarised in Appendices 2 to 4 to this paper. The comments received are summarised in Appendix 5.
12. A total of 101 responses were received to the questions on the formula factors, representing 44.3% of primary and secondary schools in Wiltshire.
13. 84 maintained schools responded to the questions on the delegation of central budgets, representing 44.4% of schools who were eligible to respond.
14. 84% of secondary schools who responded indicated that they would prefer the lump sum for secondary schools to be set at £175,000.
15. 51% of primary schools that responded indicated that they would prefer the lump sum for primary schools to be set at £100,000 whilst

49% opted for £85,000. Responses tended to be influenced by the size of school as indicated in Appendix 2.

16. Following discussion at the School Funding Working Group a further summary is included to indicate the funding per pupil that would result for different sizes of primary school under each option for the lump sum. This is included as Appendix 6 to this report.
17. Responses from maintained schools on the delegation of central budgets are shown in Appendix 2 and 3. The majority of maintained schools who responded have indicated that they would prefer budgets to be retained centrally. Budgets will be delegated to academies and special schools in accordance with DfE requirements.
18. The DfE requirement is that the central budgets included in the consultation are delegated to schools unless maintained schools agree that they wish the local authority to continue to retain them centrally on their behalf (ie., de-delegate). Schools Forum will need to decide for each budget whether it is to be de-delegated for maintained schools, taking in to account the outcomes of the consultation. In each case approval for de-delegation is to be made by the relevant phase members of Schools Forum. It is possible to make different decisions for each phase although consideration would need to be given to the impact on each service of partial delegation.
19. It should be noted that if budgets are retained centrally and a school converts to academy status during the financial year it is possible to continue to retain central budgets on behalf of that school during the year in which it converts but budgets must be delegated thereafter.

Proposals

20. That Schools Forum consider the outcomes of the consultation with schools on the funding formula for 2013-14 and agree:
 - a. The lump sum value to be used within the formula;
 - b. The delegation/de-delegation of central budgets

Carolyn Godfrey
Corporate Director

Report author: Liz Williams, Head of Finance
01225 713675
elizabeth.williams@wiltshire.gov.uk

Appendices

Appendix 1 – copy of the consultation document issued to schools
Appendix 2 - 4 – summary of consultation responses
Appendix 5 – summary of comments from schools
Appendix 6 – impact of lump sum on per pupil funding in primary schools of different sizes

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School Funding Reform 2014-15 – Consultation with Schools

Why are we consulting with Schools?

In June 2013 the DfE issued proposals for school funding in 2014-15. The proposals build on the changes to the funding formula implemented in 2013-14 and have been introduced following a review of the impact of the changes implemented in this year.

The documents from the DfE can be accessed via the following [link](#)

Within the proposals for 2014-15 there are 3 main changes to the allowable factors for the local funding formula that, if implemented in Wiltshire, could impact on funding for individual schools. These are:

1. **Lump sum** – it is now possible to set differential lump sums for primary and secondary schools, with a maximum allowable lump sum of £175,000
2. **Pupil mobility** – a threshold has now been introduced to enable funding to be more targeted
3. **Sparsity** – a new factor to target funding at necessary small rural schools

There are also some changes made to the data sets to be used for specific formula drivers, such as prior attainment data.

It has been agreed by Schools Forum that formula factors agreed for 2013-14 are not to be revisited unless impacted upon by any changes agreed through this consultation. This is to ensure that no unnecessary turbulence is introduced in to the formula for 2014-15.

Under the new arrangements for funding mainstream provision any changes to the funding formula will apply to all mainstream academies, maintained schools and free schools in Wiltshire.

All schools must be consulted on any changes to the funding formula and must receive details of the potential impact on their individual school budgets. Detail on the proposed formula for funding Wiltshire schools and an Impact Statement to provide details of the potential impact of each proposal on your school are included in this document. This consultation needs to take place in order to meet the EFA timescales for approval of the new formula and so has a very tight turnaround time.

What are we consulting on?

1. Changes to the local funding formula

Within this document we are consulting on options to change the lump sum within the Wiltshire funding formula.

The document also outlines why possible changes in relation to Mobility and Sparsity factors are not being proposed for implementation in Wiltshire – this detail is included in Appendix 1.

2. Delegation of Central Services

We are consulting with maintained schools on potential delegation of a number of central services as required under the current rules

What is not changing?

1. *Existing formula factors agreed for the 2013-14 formula (except the lump sum).* The following formula factors will remain in place although values may change during the budget setting process for 2014-15 once the funding settlement has been announced:

Allowable Factor:-
Per Pupil Entitlement – value may change depending on outcome of consultation on value of the Lump Sum
Deprivation – will continue to use Free School Meal Ever6 data to allocate funding
Prior Attainment – as proxy for SEN. Data for 14-15 budget will be updated for latest exam results but formula will be unchanged
English as an Additional Language – to be used for delegation of central budgets for support of underperforming ethnic groups
Split site allowance
Rates
PFI Factor

2. *Ratio of Primary to Secondary school expenditure* – any changes will be implemented within phase and therefore there will be no movement of funding between the primary and secondary phases
3. *Delegation of funding for high incidence, low cost SEN* – no changes are proposed to the funding for SEN allocated to mainstream schools through the funding formula. The DfE has confirmed that the requirement for schools to fund the first £6,000 additional provision will be mandatory in 2014-15 – this does not require any change in Wiltshire.
4. *The “Place Plus” mechanism for funding provision for high needs pupils* – the DfE has not proposed any changes to this for 2014-15. Within Wiltshire a separate piece of work is being carried out to review top up values for pupils within Resource Bases and Enhanced Learning Provision (ELP) and these changes will be communicated through a separate process.

The Consultation Document

Key elements of each section are:

Section	Key Issues	Who can respond?
A – Mainstream Formula	Proposed changes to the lump sum within the Wiltshire funding formula are outlined	<u>All mainstream</u> schools (academies and maintained)
B – Delegation of Central Budgets	This section outlines the centrally held budgets that are now required to be delegated	<u>Maintained</u> primary and secondary schools
C – Impact Statement	The impact statement will show your school's 13-14 budget under the current Wiltshire formula compared with what funding would have been received under the new proposed formula. The impact of any protection or limits to gains will also be shown	Provided for information
D – Response form	This section will contain all of the consultation questions and details of how to respond	
Appendices	Further detail on the DfE proposals for mobility and sparsity factors	

How have we arrived at these proposals?

More detail will be provided throughout the document on the rationale behind the proposals however it is important to stress that proposals have been developed in conjunction with the Wiltshire Schools Forum.

Who are we consulting with?

This consultation is being sent to all mainstream maintained schools and academies within Wiltshire, addressed both to the Head Teacher and to the Chair of Governors.

The consultation is being sent both hard copy and electronically.

When do we need your response?

In order to meet the required timescale of submitting the proposed formula to the Education Funding Agency (EFA) by 31st October the outcome of the consultation needs to be considered by Schools Forum on 3rd October and approved by the Council's Cabinet on 22nd October. For this reason there is a short timescale for responses and we will require your response **by close of play on Monday 23rd September 2013**.

In order to assist you in considering the consultation document and to try to answer questions that you may have we will be arranging a series of consultation roadshows between 9th and 18th September – details will be circulated separately.

If you have any specific questions please contact Liz Williams, Head of Finance as follows:

Elizabeth.williams@wiltshire.gov.uk (01225) 713675

Responses should be forwarded electronically to

absupport@wiltshire.gov.uk

OR

By hard copy to:

Accounting & Budget Support Team
County Hall – East Wing
Cradle Bridge
Bythesea Road
Wiltshire
BA14 8DQ

By close of play on 23rd September 2013 at the latest

SECTION A – FUNDING FORMULA FOR MAINSTREAM SCHOOLS

Introduction

Under the funding reform proposals implemented by the DfE for 2013-14 a full review of the Wiltshire funding formula for schools was undertaken during the last financial year. All schools within Wiltshire are now funded according to the new funding formula implemented for the 2013-14 financial year.

The government agreed to review the changes implemented for 2013-14 and consulted with schools and local authorities in March of this year. In June 2013 the DfE issued proposals for school funding in 2014-15. These proposals build on the changes to the funding formula implemented in 2013-14 and have been introduced following the review of the impact of the changes implemented in this year. The proposals are not intended to bring about large scale changes but are made in order to address any unintended consequences of the new funding model.

As a result, the main elements of the funding formula are left unchanged however there are 3 changes that local authorities could now incorporate within their local funding formulae for 2014-15. These are:

1. **Lump sum** – it is now possible to set differential lump sums for primary and secondary schools, with a maximum allowable lump sum of £175,000
2. **Pupil mobility** – a threshold has now been introduced to enable funding to be more targeted
3. **Sparsity** – a new factor can now be used to target funding at necessary small rural schools

This section provides detail on proposals to change the lump sum within the Wiltshire funding formula.

Further detail on factors for pupil mobility and sparsity are included as Appendix 1 – no options are being put forward for consultation with schools and the appendix details how and why that decision was arrived at.

A1 – Lump Sum

Name of Factor: Lump Sum

What is the formula driver?

LAs are allowed to include a lump sum for each school within the funding formula. The purpose of the lump sum is to recognise the fixed costs within a school.

Within the new funding framework it is now possible to apply differential lump sums to primary and secondary schools - this is a change from 2013-14. The maximum allowable lump sum has been set at £175,000, reduced from £200,000 in 2013-14 by the DfE. This reduction has been applied because no authorities used the maximum in 2013-14.

Are there any options being consulted on?

The change to the application of the lump sum in 2013-14 had the biggest single impact on schools in Wiltshire in the revised funding formula, particularly for secondary schools, however the minimum funding guarantee (MFG) evened out that impact to an extent.

Schools Forum has agreed that schools should be consulted on changes to the lump sum to enable different values to be applied across primary and secondary schools.

The options being consulted on are:

- 1. To set the lump sum for Primary schools at £85,000 in line with the previous Wiltshire funding formula**
- 2. To set the lump sum for Secondary schools at £175,000 which is the maximum allowable**

Any other relevant info

Any changes to the lump sum must be funded from within the overall delegated budget and therefore changes to the lump sum impact on the value of the AWPU. Changes will be applied within phase, in other words the ratio of primary to secondary funding will not change.

For primary schools the impact of reducing the lump sum to £85,000 is to increase the Primary per pupil funding rate by £86.20 to £2,912.12, an increase of 3% based on 2013-14 AWPU values.

For secondary schools the impact of increasing the lump sum to £175,000 is to decrease the KS3 AWPU by £80.82 to £3,739.55, a 2.1% reduction; KS4 reduces by £98.61 to £4,562.50, again a 2.1% reduction based on 2013-14 AWPU values.

The impact of these changes will be shown on the attached impact statement for your school.

Protection and Limits to Gains

1. The Minimum Funding Guarantee (MFG) will be set at -1.5% per pupil in 2014-15, however the calculation has been amended and simplified compared with previous years. The revised MFG calculation has been applied to all formula options and this is illustrated in each Impact Statement.
2. In order to fund the MFG it is possible to limit gains to individual schools. The approach that has been agreed with Schools Forum is that the cost of the MFG needs to be met through the capping of gains. The impact of any application of capping will also be illustrated in each Impact Statement.
3. **Because of the application of the MFG and Cap the proposed changes may have little impact on school budgets in the short term.** In responding to the consultation it is important that you consider the impact of the formula without the application of the MFG and Cap so that we can ensure the right formula is implemented for Wiltshire schools within the constraints of the new funding rules.

SECTION B – DELEGATION OF CENTRAL EXPENDITURE

1. In order to give schools greater choice over how to spend their budgets LAs are required to work on the basis that services within the Dedicated Schools Grant (DSG) Schools Block, and the funding for them, should be delegated to schools in the first instance. This means that a number of DSG funded budgets that have previously been retained centrally should now be delegated to schools. There are a number of exceptions to this, for example the Admissions Service budget, and there are also a number of budgets that maintained primary and/or secondary schools can agree to de-delegate so that they continue to be provided centrally. De-delegation cannot be applied to amounts delegated to academies or to special schools.

Services which have to be allocated through the formula but can be de-delegated for maintained schools

2. There are a number of services for which the budgets need to be allocated through the new funding formula but which can then be de-delegated for maintained schools. Approval for de-delegation is by the relevant phase members of Schools Forum following responses to this consultation.
3. There are a number of outcomes that could flow from the proposals to delegate the budgets. These include:
 - a. Following consultation with all schools, maintained schools agree that budgets should be de-delegated and retained centrally with services provided to all maintained schools;
 - b. Schools agree that budgets should be delegated and schools make/purchase their own provision as appropriate;
 - c. Schools agree that budgets should be delegated and they then cluster together to purchase or deliver services.
4. Under scenario (a) the LA would be able to retain a level of service to provide to maintained schools, this service may be reduced from current levels unless there is also buyback from academies. The size of the service may also need to reduce over time if the number of academies increases.
5. Under scenarios b and c the LA would not be able to continue to deliver a service unless there is sufficient buy back on a traded basis from schools (maintained or academy) to enable retention of sufficient staff. This will be difficult to predict and the LA will need to decide whether it can afford to continue to deliver services centrally on a fully traded basis with full cost recovery. This would require a risk assessment.
6. Schools were consulted on the delegation of these services for 2013-14 in September 2012, details are still held on Wisenet (*circular A211/12, dated 03/09/2012*) and can be accessed via the [Finance WiseNet Page](#) under Accounting Guidance circulars. Within that document details were provided on the total amounts to be delegated, what the budgets are currently funding, the costs/provision that schools would be responsible for following delegation and the potential level of costs. The amount to be delegated to your individual school for each budget, based on 2013-14 budget totals, will be included on the Impact Statements attached to this consultation document.
7. Following that consultation it was agreed that for 2013-14 budgets would be delegated/de-delegated as follows:

Delegation/De-delegation of Central Budgets 2013-14

DfE Heading	Wiltshire Budget	Maintained Primary Schools	Maintained Secondary Schools
Contingencies	Schools Contingency	De-delegate	De-delegate
Free school meals eligibility	Free School Meals Eligibility Service	De-delegate	De-delegate
Insurance	Insurance	Delegate	Delegate
Licences/subscriptions	SIMS Licence	De-Delegate	De-Delegate
	HCSS Licence	De-Delegate	De-Delegate
	Copyright Licences	De-Delegate	De-Delegate
Staff costs – supply cover	Trade Union Duties	De-Delegate	De-Delegate
	Maternity Costs	De-Delegate	De-Delegate
Support for minority ethnic pupils and underachieving groups	Ethnic Minority Achievement Service (EMAS)	De-Delegate	Delegate
	Traveller Education Service	De-Delegate	Delegate
Behaviour support services	Primary Behaviour Support Service	De-Delegate	Not delivered to secondary schools

8. For maintained primary schools all budgets, with the exception of insurances, continue to be held centrally, ie., de-delegated in 2013-14. For secondary schools the budgets for the EMAS and Travellers Education Service have also been delegated in 2013-14, other budgets continue to be held centrally.
9. It is necessary to consult with maintained schools each year on whether these budgets should continue to be held centrally or should be delegated to schools and the response form attached to this document asks maintained schools to indicate for each service whether the budget should be delegated or de-delegated.
10. Details of each of those budgets remain largely as outlined in the previous consultation document (see [Circular A211/12](#)) and are not repeated here. The only significant changes are as follows:-
 - a. HCSS Licences – at the meeting of 27th June 2013 Schools Forum agreed to enter in to a new 3 year agreement to provide web based financial planning

software for all maintained schools in Wiltshire. The costs of this provision will be met from the central budget for licences and so if the budget is delegated schools would need to be invoiced individually for the licence costs.

- b. Copyright Licences – a new national copyright licence is in place covering copyright content in books, journals and magazines and printed music. The budget for this must be held centrally and cannot be delegated. The budgets for the other licences outlined in Circular A211/12 last year are available for delegation if schools should opt for that.
 - c. Maternity costs – Schools opted to de-delegate the budget for maternity costs in 2013-14. Work is taking place to look at options for a Wiltshire maternity pooling scheme similar to the current sickness supply insurance scheme but proposals have yet to be finalised. As the availability of a scheme may influence responses from schools, if proposals can be finalised in the autumn schools can be re-consulted on this issue at a later date.
 - d. Schools contingency – Schools Forum may wish to consider allocating additional funding in to the contingency to support schools in financial difficulty. This has not been agreed at this stage but is an option still to be considered.
11. Details of the indicative amounts schools would receive if these budgets are delegated are itemised on the impact statement for your school. These amounts are based on October 2012 census data and are therefore the same as those amounts identified on your 13-14 funding certificates. Amounts will be updated when the actual budget for 2014-15 is set.

SECTION C – IMPACT STATEMENTS

Impact Statements are attached for each consultation options. Each statement shows how much funding you would have received in 2013-14 under each of the models proposed. The statement also indicates the impact of the Minimum Funding Guarantee or any capping of gains.

Additional Information:

- The source data used in the models has been provided by the DfE and cannot be changed.
- As required, the statements also show a breakdown of the funds that LAs are required to delegate from April 2013 (ref Section B of the main document for further details).

SECTION D – CONSULTATION RESPONSE FORM

Please use the following form to respond to this consultation

Type of School (please tick)

Maintained Primary School	
Maintained Secondary School	
Primary Academy	
Secondary Academy	

Name of School:.....

Section A – Mainstream formula (all schools eligible to respond)

Lump Sum Allowance

What is your preferred option for the value of the Primary School lump sum? (please tick)

Lump sum of £85,000	
Lump Sum of £100,000	

Comments:

--

What is your preferred option for the value of the Secondary School Lump Sum? (please tick)

Lump sum of £100,000	
Lump Sum of £175,000	

Comments:

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Section B – Delegation of Central Budgets (maintained primary and secondary schools eligible to respond)

For each of the following budgets/services please indicate through ticking the appropriate box whether you would prefer the budget to be delegated or retained centrally:

DfE Heading	Wiltshire Budget	Delegate?	Retain Centrally?
Contingencies	Schools Contingency		
Free school meals eligibility	Free School Meals Eligibility Service		
Licences/subscriptions	SIMS Licence		
	HCSS Licence		
	Copyright Licences		
Staff costs – supply cover	Trade Union Duties		
	Maternity Costs		
Support for minority ethnic pupils and underachieving groups	Ethnic Minority Achievement Service (EMAS)		
	Traveller Education Service		
Behaviour support services	Primary Behaviour Support Service		

Additional comments

Please use this space to add any further comments that you wish to raise on the proposed formula:

Responses should be forwarded electronically to

absupport@wiltshire.gov.uk

OR

By hard copy to:

Accounting & Budget Support Team
County Hall – East Wing
Cradle Bridge
Bythesea Road
Wiltshire
BA14 8DQ

By close of play on 23rd September 2013 at the latest

Appendix 1 – Factors not proposed for inclusion within the Wiltshire Funding Formula

Pupil Mobility

Name of Factor: Pupil Mobility
What is the formula driver? <p>Local Authorities (LAs) are allowed to include an element within the funding formula to reflect pupil mobility within the school year. If a mobility factor is used, funding is now to be targeted at schools with greater than 10% pupil mobility. Mobility is measured by the average number of in year starters over the previous 3 years and therefore looks at inward mobility rather than net mobility.</p> <p>Mobility is to be calculated using the school start date for each pupil from the October School Census, as provided by DfE, and counts pupils who started in the previous three academic years, but did not start in August or September (or January for Year 1). A unit rate is to be applied to each pupil over the 10% mobility threshold, different rates can be applied in primary and secondary schools.</p> <p>For example a school with 284 on roll with 18.25% inward mobility. 8.25% of the NOR would potentially attract mobility funding ie., 23.5 pupils. The mobility factor unit rate of funding would be applied to 23.5 pupils.</p>
Are there any options being consulted on? <p>It is <u>not</u> proposed to include a formula factor based on Pupil Mobility in the Wiltshire funding formula. There are no options proposed for consultation with schools.</p>
Any other relevant info <p>As part of the 2013-14 implementation of the funding changes Wiltshire Council and Wiltshire Schools Forum had fed back to the DfE concerns that the initial mobility factor incorporated in to the school funding formula did not allow funding to be targeted at schools with the most need because there was no threshold incorporated within the calculation. It has also consistently been a concern within Wiltshire that the factor reflects only inward mobility rather than net mobility and the associated turbulence that creates.</p> <p>The new proposals from the DfE do incorporate a threshold to enable funding to be targeted to higher levels of mobility and so financial modelling work was undertaken in Wiltshire to consider the impact of the new factor and whether it would support Wiltshire schools.</p> <p>Using data provided by DfE it was established that 63 out of 199 primary schools and 2 out of 29 secondary schools could be eligible for the mobility factor if it is applied. Eligible schools tend to be those with higher populations of service pupils but not exclusively so.</p> <p>In considering whether a mobility factor should be applied Schools Forum took in to account the following issues:</p> <ol style="list-style-type: none">1. Funding/Affordability – funding for a mobility factor would need to be drawn from other elements of the delegated budget, most likely Age Weighted Pupil Units (AWPUs) therefore inclusion of a mobility factor would result in a decrease in AWPU funding across all schools. <p>For example, if a mobility rate of £500 per eligible pupil is set for primary schools the</p>

total cost of the mobility factor across all primary schools would be £242,700 and would need to be funded by a reduction of £7.01 from the Primary AWPU. Of the 63 primary schools eligible for mobility funding, 11 would lose more funding through the AWPU reduction than they would gain through the mobility factor. All primary schools not eligible for mobility funding would experience a reduction in funding.

For secondary schools only 17.5 pupils would attract funding across the whole sector. Unless the rate is set very high it is not possible to use the mobility factor to significantly target funding.

2. The data to be used in the mobility factor is based on historical movement of pupils. There is a concern that the data to be used in the proposed mobility factor reflects past patterns of pupil movement but that the future pattern for military schools in Wiltshire would be one of growth, as families move back to the County, but of more stability in terms of in year turbulence. As a result it is felt that the existing growth fund is a more flexible way of reflecting that position.
3. The mobility factor does not recognise net mobility, only inward movement of pupils, and therefore has the potential to duplicate funding allocated from the Growth Fund for additional pupils.

Sparsity Factor

Name of Factor: Sparsity factor

What is the formula driver?

The sparsity factor is designed to support “necessary small rural schools”, ie., schools, that because of their remote location, are necessary as children cannot access education from an alternative nearby school.

If it is to be included in the formula, the sparsity factor is to be driven by size of school and by the average distance pupils would need to travel (as the crow flies) to their second nearest school. DfE has set the parameters as follows:

- Primary Schools – size threshold of 150 pupils and minimum distance threshold to the second nearest school of 2 miles.
- Secondary schools – size threshold of 600 pupils and minimum distance to the second nearest school of 3 miles.

Funding would be applied as a lump sum, with maximum value of £100,000, and can be tapered to reflect different sizes of school with smaller schools receiving higher amounts.

Local authorities are allowed to vary the amount of the lump sum applied or the size threshold (can be revised downwards) or distance threshold (can be revised upwards).

Are there any options being consulted on?

There are no options proposed for consultation with schools.

Any other relevant info

Wiltshire Council and Schools Forum lobbied strongly to the DfE that the new funding model implemented in 2013-14 did not support rural schools. In Wiltshire the need to support smaller schools has previously been addressed through the lump sum element of the formula and through support for federations, split site schools etc. In responding to the DfE consultation in March this year Schools Forum expressed concern that the proposed sparsity factor was too complex and that differential lump sums would be a more appropriate way to support schools in rural authorities.

Because the proposals from the DfE are designed to support necessary small schools in rural authorities, financial modelling work was undertaken to understand the impact of a sparsity factor in Wiltshire.

If DfE recommended thresholds are applied the maximum number of schools eligible for sparsity funding in Wiltshire would be 31 Primary and 3 Secondary schools. Because of the need to consider the combination of distance travelled and pupil numbers, neither the smallest primary school in Wiltshire nor the most “remote” under this definition would qualify for funding. Whilst the smallest and most remote secondary school in Wiltshire would be eligible for funding under the model, other small secondary schools are not eligible because the distance criteria are not met.

In considering whether a sparsity factor should be implemented in Wiltshire Schools Forum took the following issues in to account:

1. Funding/Affordability - If a tapered lump sum with a maximum of £100,000 is used (as recommended by DfE) the total cost of implementation of a sparsity factor in Wiltshire would be £1.193 million. This would need to be funded from reduction in other elements of the funding formula. Because the sparsity factor is allocated to schools as a lump sum the most appropriate way to fund it would be a reduction in the universal lump sum allocated to all schools. For Primary schools this would mean a reduction of £5,595 from the lump sum for all primary schools in order to meet the cost of the sparsity factor. For secondary schools the lump sum would be reduced by £2,851.
2. Impact on per pupil funding in individual schools – the mechanics of the sparsity factor mean that higher amounts of funding are targeted at smaller schools which meet the size and distance criteria. This increases the amount of per pupil funding in those schools over and above similar size schools that, through the formula, are less remote but that may still be considered rural. This is exemplified in the table below which shows the impact of the sparsity factor on per pupil funding assuming all other formula factors remain unchanged (except for the lump sum being reduced to meet the cost of sparsity). It should be noted that the proposed changes to the lump sum (section A2 above) do not change the pattern of the impact shown below.

Impact of Redistributiion of Funds through Sparsity Factor								
Figures are BEFORE impact of MFG/Cap								
Type of School	NOR	Distance to 2nd Nearest School (miles)	Sparsity Factor?	Funding Per Pupil 2013-14	Funding Per Pupil 2014-15	Movement	Difference in Per Pupil Funding WITHOUT Sparsity	Difference in Per Pupil Funding WITH Sparsity
Primary	49	2.1	yes	£ 4,973	£ 6,233	£ 1,260	£ 45	£ 1,374
Primary	49	1.3	no	£ 5,018	£ 4,904	-£ 114		
Primary	115	2.0	no	£ 3,969	£ 3,921	-£ 49	£ 15	£ 203
Primary	115	3.3	yes	£ 3,955	£ 4,109	£ 154		
Primary	145	2.5	yes	£ 3,853	£ 3,838	-£ 16	£ 66	£ 23
Primary	145	1.1	no	£ 3,787	£ 3,749	-£ 39		
Secondary	320	5.6	yes	£ 4,783	£ 4,923	£ 140	£ 7	£ 148
Secondary	347	1.4	no	£ 4,776	£ 4,767	-£ 8		

Because of the way in which the sparsity factor works the impact is greater for smaller schools. The figures indicate, for example, that for two primary schools with 49 pupils on roll, where one would qualify for sparsity funding and the other would not, the resulting difference in funding per pupil would be more than £1,300. Schools Forum therefore needed to consider whether, in the Wiltshire context, any single school would be considered so much more remote than other similar schools as to justify additional per pupil funding to that level.

3. Existing support for smaller schools – now that the formula is allowed to contain differential lump sums for primary and secondary schools it was felt that the lump sum, operating alongside the new rules which enable schools which federate to keep 85% of the combined lump sums from the previous schools for at least one year, gave sufficient flexibility to enable small rural schools to be supported within Wiltshire without the implementation of a sparsity factor.

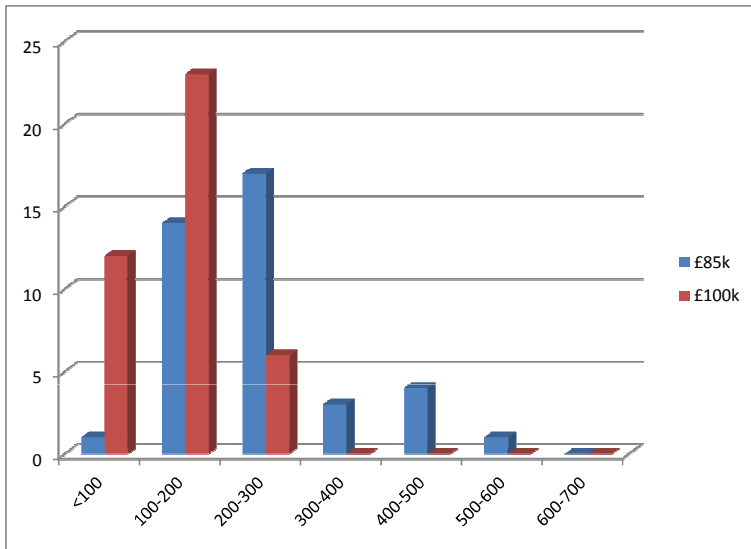
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Key Messages from Consultation

Responses		Primary		Secondary	
		Academy	Maintained	Academy	Maintained
Overall Responses	101	5	77	12	7
Potential Responses	228	19	180	20	9
Response Rate	44.3%	26.3%	42.8%	60.0%	77.8%

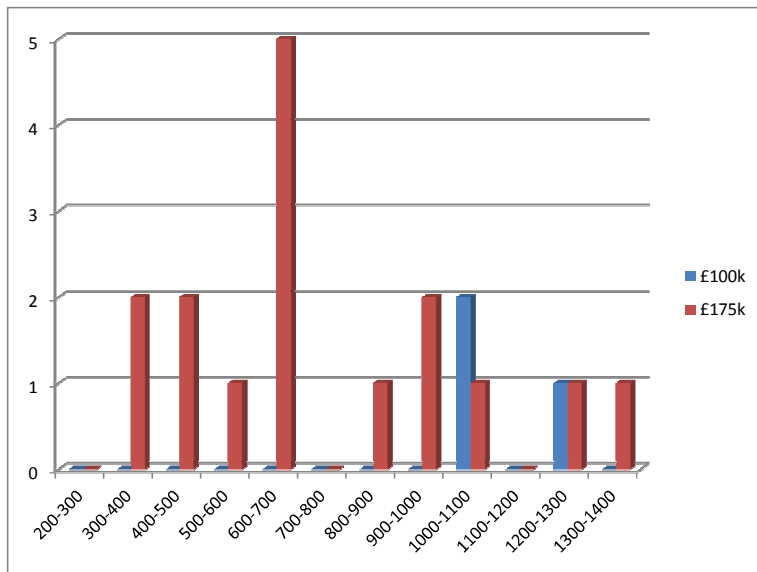
Delegate or Retain Centrally		Primary	Secondary
Schools Contingency	Retain	88.2%	100.0%
FSM Eligibility Service	Retain	96.1%	100.0%
SIMS Licence	Retain	97.4%	100.0%
HCSS Licence	Retain	97.4%	100.0%
Copyright Licence	Retain	93.5%	100.0%
Trade Union Duties	Retain	94.7%	100.0%
Maternity Costs	Retain	98.7%	100.0%
Ethnic Minority	Retain	69.7%	57.1%
Traveller Education	Retain	68.8%	42.9%
Primary Behaviour Support	Retain	81.8%	50.0%

Lump Sum Funding - Primary Schools £85k v's £100k



Pupil No's	£85k	£100k
<100	1	12
100-200	14	23
200-300	17	6
300-400	3	0
400-500	4	0
500-600	1	0
600-700	0	0
TOTAL	40	41
	49%	51%

Lump Sum Funding - Secondary Schools £100k v's £175k



Pupil No's	£100k	£175k
200-300	0	0
300-400	0	2
400-500	0	2
500-600	0	1
600-700	0	5
700-800	0	0
800-900	0	1
900-1000	0	2
1000-1100	2	1
1100-1200	0	0
1200-1300	1	1
1300-1400	0	1
TOTAL	3	16
	16%	84%

Formula Funding Review Sept 13 Consultation

£85k vs £100k & £100k vs £175k

Phase	£85K	£100K	£100K	£175K	Total responses by phase	% Responses by Phase
Primary	36	41	4	15	77	42.54%
Secondary	3	1	0	7	7	44.44%
Primary Academy	4	1	1	1	5	10.53%
Secondary Academy	2	2	3	9	12	60.00%
Grand Total	40	42	3	16	101	
	39.6%	41.6%	3.0%	15.8%	44.30%	

Schools Contingency - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by phase	% Responses by Phase
Primary	9	67	76	41.99%
Secondary	0	7	7	77.78%
Grand Total	9	74	83	
	10.8%	89.2%	43.92%	

Schools Contingency - Delegated or Retained Centrally

Delegate-Primary	9
Delegate-Secondary	0
Retain-Primary	67
Retain-Secondary	7

FSM Eligibility Service - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by phase	% Responses by Phase
Primary	3	74	77	42.54%
Secondary	0	7	7	77.78%
Grand Total	3	81	84	
	3.6%	96.4%	44.44%	

FSM Eligibility Service - Delegated or Retained Centrally

Delegate-Primary	3
Delegate-Secondary	0
Retain-Primary	74
Retain-Secondary	7

SIMS Licence - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by phase	% Responses by Phase
Primary	2	75	77	42.54%
Secondary	0	7	7	77.78%
Grand Total	2	82	84	
	2.4%	97.6%	44.44%	

SIMS Licence - Delegated or Retained Centrally

Delegate-Primary	2
Delegate-Secondary	0
Retain-Primary	75
Retain-Secondary	7

HCSS Licence - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by phase	% Responses by Phase
Primary	2	74	76	41.99%
Secondary	0	7	7	77.78%
Grand Total	2	81	83	
	2.4%	97.6%	43.92%	

HCSS Licence - Delegated or Retained Centrally

Delegate-Primary	2
Delegate-Secondary	0
Retain-Primary	74
Retain-Secondary	7

Copyright Licence - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by phase	% Responses by Phase
Primary	5	72	77	42.54%
Secondary	0	7	7	77.78%
Grand Total	5	79	84	
	6.0%	94.0%	44.44%	

Copyright Licence - Delegated or Retained Centrally

Delegate-Primary	5
Delegate-Secondary	0
Retain-Primary	72
Retain-Secondary	7

Trade Union Duties - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by phase	% Responses by Phase
Primary	4	72	76	41.99%
Secondary	0	7	7	77.78%
Grand Total	4	79	83	
	4.8%	95.2%	43.92%	

Trade Union Duties - Delegated or Retained Centrally

Delegate-Primary	4
Delegate-Secondary	0
Retain-Primary	72
Retain-Secondary	7

Maternity Costs - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by phase	% Responses by Phase
Primary	1	76	77	42.54%
Secondary	0	7	7	77.78%
Grand Total	1	83	84	
	1.2%	98.8%	44.44%	

Maternity Costs - Delegated or Retained Centrally

Delegate-Primary	1
Delegate-Secondary	0
Retain-Primary	76
Retain-Secondary	7

Ethnic Minority Achievement Service - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by phase	% Responses by Phase
Primary	23	53	76	41.99%
Secondary	3	4	7	77.78%
Grand Total	26	57	83	
	31.3%	68.7%	43.92%	

Ethnic Minority Achievement Service - Delegated or Retained Centrally

Delegate-Primary	23
Delegate-Secondary	3
Retain-Primary	53
Retain-Secondary	4

Traveller Education Service - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by phase	% Responses by Phase
Primary	24	53	77	42.54%
Secondary	4	3	7	77.78%
Grand Total	28	56	84	
	33.3%	66.7%	44.44%	

Traveller Education Service - Delegated or Retained Centrally

Delegate-Primary	24
Delegate-Secondary	4
Retain-Primary	53
Retain-Secondary	3

Primary Behaviour Support Service - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by phase	% Responses by Phase
Primary	14	63	77	42.54%
Secondary	2	2	4	44.44%
Grand Total	16	65	81	
	19.8%	80.2%	42.86%	

Primary Behaviour Support Service - Delegated or Retained Centrally

Delegate-Primary	14
Delegate-Secondary	2
Retain-Primary	63
Retain-Secondary	2

Formula Funding Review Sept 13 Consultation

£85k or £100k

Phase	£85K	£100K	£100K	£175K	Total responses by NOR Band	Total in Band	% Response by Phase
Primary <100	1	12			13	39	33.33%
Primary 100-200	14	22			36	81	44.44%
Primary 200-300	16	6			22	48	45.83%
Primary 300-400	3	0			3	9	33.33%
Primary 400-500	2	0			2	3	66.67%
Primary 500-600	0	0			0	0	0.00%
Primary 600-700	0	0			0	0	0.00%
Primary Academy <100	0	0			0	2	0.00%
Primary Academy 100-200	0	1			1	9	11.11%
Primary Academy 200-300	1	0			1	5	20.00%
Primary Academy 300-400	0	0			0	0	0.00%
Primary Academy 400-500	2	0			2	2	100.00%
Primary Academy 500-600	1	0			1	1	100.00%
Primary Academy 600-700	0	0			0	0	0.00%
Secondary 200-300			0	0	0	0	0.00%
Secondary 300-400			0	1	0	1	0.00%
Secondary 400-500			0	1	0	1	0.00%
Secondary 500-600			0	1	0	2	0.00%
Secondary 600-700			0	2	0	2	0.00%
Secondary 700-800			0	0	0	0	0.00%
Secondary 800-900			0	0	0	1	0.00%
Secondary 900-1000			0	1	0	1	0.00%
Secondary 1000-1100			0	1	0	1	0.00%
Secondary 1100-1200			0	0	0	0	0.00%
Secondary 1200-1300			0	0	0	0	0.00%
Secondary 1300-1400			0	0	0	0	0.00%
Secondary Academy 200-300			0	0	0	0	0.00%
Secondary Academy 300-400			0	1	0	1	0.00%
Secondary Academy 400-500			0	1	0	1	0.00%
Secondary Academy 500-600			0	0	0	0	0.00%
Secondary Academy 600-700			0	3	0	3	0.00%
Secondary Academy 700-800			0	0	0	2	0.00%
Secondary Academy 800-900			0	1	0	2	0.00%
Secondary Academy 900-1000			0	1	0	2	0.00%
Secondary Academy 1000-1100			2	0	0	3	0.00%
Secondary Academy 1100-1200			0	0	0	1	0.00%
Secondary Academy 1200-1300			1	1	0	3	0.00%
Secondary Academy 1300-1400			0	1	0	2	0.00%
Grand Total	40	41	3	16	81	228	
	49.4%	50.6%	35.53%				

Schools Contingency - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by NOR Band	Total in Band	% Responses by Phase
Primary <100	1	11	12	39	30.77%
Primary 100-200	5	32	37	81	45.68%
Primary 200-300	3	18	21	48	43.75%
Primary 300-400	0	3	3	9	33.33%
Primary 400-500	0	2	2	3	66.67%
Primary 500-600	0	0	0	0	0.00%
Primary 600-700	0	0	0	0	0.00%
Secondary 200-300	0	0	0	0	0.00%
Secondary 300-400	0	1	1	1	100.00%
Secondary 400-500	0	1	1	1	100.00%
Secondary 500-600	0	1	1	2	50.00%
Secondary 600-700	0	2	2	2	100.00%
Secondary 700-800	0	0	0	0	0.00%
Secondary 800-900	0	0	0	1	0.00%
Secondary 900-1000	0	1	1	1	100.00%
Secondary 1000-1100	0	1	1	1	100.00%
Secondary 1100-1200	0	0	0	0	0.00%
Secondary 1200-1300	0	0	0	0	0.00%
Secondary 1300-1400	0	0	0	0	0.00%
Grand Total	9	73	82	189	
	11.0%	89.0%	41.21%		

FSM Eligibility Service - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by NOR Band	Total in Band	% Responses by Phase
Primary <100	1	11	12	39	30.77%
Primary 100-200	1	36	37	81	45.68%
Primary 200-300	1	21	22	48	45.83%
Primary 300-400	0	3	3	9	33.33%
Primary 400-500	0	2	2	3	66.67%
Primary 500-600	0	0	0	0	0.00%
Primary 600-700	0	0	0	0	0.00%
Secondary 200-300	0	0	0	0	0.00%
Secondary 300-400	0	1	1	1	100.00%
Secondary 400-500	0	1	1	1	100.00%
Secondary 500-600	0	1	1	2	50.00%
Secondary 600-700	0	2	2	2	100.00%
Secondary 700-800	0	0	0	0	0.00%
Secondary 800-900	0	0	0	1	0.00%
Secondary 900-1000	0	1	1	1	100.00%
Secondary 1000-1100	0	1	1	1	100.00%
Secondary 1100-1200	0	0	0	0	0.00%
Secondary 1200-1300	0	0	0	0	0.00%
Secondary 1300-1400	0	0	0	0	0.00%
Grand Total	3	80	83	189	

3.6% 96.4% 41.71%

SIMS Licence - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by NOR Band	Total in Band	% Responses by Phase
Primary <100	1	11	12	39	30.77%
Primary 100-200	0	37	37	81	45.68%
Primary 200-300	0	22	22	48	45.83%
Primary 300-400	0	3	3	9	33.33%
Primary 400-500	0	2	2	3	66.67%
Primary 500-600	0	0	0	0	0.00%
Primary 600-700	0	0	0	0	0.00%
Secondary 200-300	0	0	0	0	0.00%
Secondary 300-400	0	1	1	1	100.00%
Secondary 400-500	0	1	1	1	100.00%
Secondary 500-600	0	1	1	2	50.00%
Secondary 600-700	0	2	2	2	100.00%
Secondary 700-800	0	0	0	0	0.00%
Secondary 800-900	0	0	0	1	0.00%
Secondary 900-1000	0	1	1	1	100.00%
Secondary 1000-1100	0	1	1	1	100.00%
Secondary 1100-1200	0	0	0	0	0.00%
Secondary 1200-1300	0	0	0	0	0.00%
Secondary 1300-1400	0	0	0	0	0.00%
Grand Total	1	82	83	189	

1.2% 98.8% 41.71%

HCSS Licence - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by NOR Band	Total in Band	% Responses by Phase
Primary <100	1	11	12	39	30.77%
Primary 100-200	0	37	37	81	45.68%
Primary 200-300	0	21	21	48	43.75%
Primary 300-400	0	3	3	9	33.33%
Primary 400-500	0	2	2	3	66.67%
Primary 500-600	0	0	0	0	0.00%
Primary 600-700	0	0	0	0	0.00%
Secondary 200-300	0	0	0	0	0.00%
Secondary 300-400	0	1	1	1	100.00%
Secondary 400-500	0	1	1	1	100.00%
Secondary 500-600	0	1	1	2	50.00%
Secondary 600-700	0	2	2	2	100.00%
Secondary 700-800	0	0	0	0	0.00%
Secondary 800-900	0	0	0	1	0.00%
Secondary 900-1000	0	1	1	1	100.00%
Secondary 1000-1100	0	1	1	1	100.00%
Secondary 1100-1200	0	0	0	0	0.00%
Secondary 1200-1300	0	0	0	0	0.00%
Secondary 1300-1400	0	0	0	0	0.00%
Grand Total	1	81	82	189	

1.2% 98.8% 41.21%

Copyright Licence - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by NOR Band	Total in Band	% Responses by Phase
Primary <100	1	11	12	39	30.77%
Primary 100-200	3	34	37	81	45.68%
Primary 200-300	0	22	22	48	45.83%
Primary 300-400	0	3	3	9	33.33%
Primary 400-500	0	2	2	3	66.67%
Primary 500-600	0	0	0	0	0.00%
Primary 600-700	0	0	0	0	0.00%
Secondary 200-300	0	0	0	0	0.00%
Secondary 300-400	0	1	1	1	100.00%
Secondary 400-500	0	1	1	1	100.00%
Secondary 500-600	0	1	1	2	50.00%
Secondary 600-700	0	2	2	2	100.00%
Secondary 700-800	0	0	0	0	0.00%
Secondary 800-900	0	0	0	1	0.00%
Secondary 900-1000	0	1	1	1	100.00%
Secondary 1000-1100	0	1	1	1	100.00%
Secondary 1100-1200	0	0	0	0	0.00%
Secondary 1200-1300	0	0	0	0	0.00%
Secondary 1300-1400	0	0	0	0	0.00%
Grand Total	4	79	83	189	
	4.8%	95.2%	41.71%		

Trade Union Duties - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by NOR Band	Total in Band	% Responses by Phase
Primary <100	1	11	12	39	30.77%
Primary 100-200	1	36	37	81	45.68%
Primary 200-300	0	21	21	48	43.75%
Primary 300-400	1	2	3	9	33.33%
Primary 400-500	0	2	2	3	66.67%
Primary 500-600	0	0	0	0	0.00%
Primary 600-700	0	0	0	0	0.00%
Secondary 200-300	0	0	0	0	0.00%
Secondary 300-400	0	1	1	1	100.00%
Secondary 400-500	0	1	1	1	100.00%
Secondary 500-600	0	1	1	2	50.00%
Secondary 600-700	0	2	2	2	100.00%
Secondary 700-800	0	0	0	0	0.00%
Secondary 800-900	0	0	0	1	0.00%
Secondary 900-1000	0	1	1	1	100.00%
Secondary 1000-1100	0	1	1	1	100.00%
Secondary 1100-1200	0	0	0	0	0.00%
Secondary 1200-1300	0	0	0	0	0.00%
Secondary 1300-1400	0	0	0	0	0.00%
Grand Total	3	79	82	189	
	3.7%	96.3%	41.21%		

Maternity Costs - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by NOR Band	Total in Band	% Responses by Phase
Primary <100	1	11	12	39	30.77%
Primary 100-200	0	37	37	81	45.68%
Primary 200-300	0	22	22	48	45.83%
Primary 300-400	0	3	3	9	33.33%
Primary 400-500	0	2	2	3	66.67%
Primary 500-600	0	0	0	0	0.00%
Primary 600-700	0	0	0	0	0.00%
Secondary 200-300	0	0	0	0	0.00%
Secondary 300-400	0	1	1	1	100.00%
Secondary 400-500	0	1	1	1	100.00%
Secondary 500-600	0	1	1	2	50.00%
Secondary 600-700	0	2	2	2	100.00%
Secondary 700-800	0	0	0	0	0.00%
Secondary 800-900	0	0	0	1	0.00%
Secondary 900-1000	0	1	1	1	100.00%
Secondary 1000-1100	0	1	1	1	100.00%
Secondary 1100-1200	0	0	0	0	0.00%
Secondary 1200-1300	0	0	0	0	0.00%
Secondary 1300-1400	0	0	0	0	0.00%
Grand Total	1	82	83	189	
	1.2%	98.8%	41.71%		

Ethnic Minority Achievement Service - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by NOR Band	Total in Band	% Responses by Phase
Primary <100	2	10	12	39	30.77%
Primary 100-200	12	25	37	81	45.68%
Primary 200-300	5	16	21	48	43.75%
Primary 300-400	2	1	3	9	33.33%
Primary 400-500	1	1	2	3	66.67%
Primary 500-600	0	0	0	0	0.00%
Primary 600-700	0	0	0	0	0.00%
Secondary 200-300	0	0	0	0	0.00%
Secondary 300-400	0	1	1	1	100.00%
Secondary 400-500	0	1	1	1	100.00%
Secondary 500-600	0	1	1	2	50.00%
Secondary 600-700	0	2	2	2	100.00%
Secondary 700-800	0	0	0	0	0.00%
Secondary 800-900	0	0	0	1	0.00%
Secondary 900-1000	0	1	1	1	100.00%
Secondary 1000-1100	0	1	1	1	100.00%
Secondary 1100-1200	0	0	0	0	0.00%
Secondary 1200-1300	0	0	0	0	0.00%
Secondary 1300-1400	0	0	0	0	0.00%
Grand Total	22	60	82	189	
	26.8%	73.2%	41.21%		

Traveller Education Service - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by NOR Band	Total in Band	% Responses by Phase
Primary <100	2	10	12	39	30.77%
Primary 100-200	12	25	37	81	45.68%
Primary 200-300	5	17	22	48	45.83%
Primary 300-400	3	0	3	9	33.33%
Primary 400-500	1	1	2	3	66.67%
Primary 500-600	0	0	0	0	0.00%
Primary 600-700	0	0	0	0	0.00%
Secondary 200-300	0	0	0	0	0.00%
Secondary 300-400	0	1	1	1	100.00%
Secondary 400-500	1	0	1	1	100.00%
Secondary 500-600	0	1	1	2	50.00%
Secondary 600-700	1	1	2	2	100.00%
Secondary 700-800	0	0	0	0	0.00%
Secondary 800-900	0	0	0	1	0.00%
Secondary 900-1000	1	0	1	1	100.00%
Secondary 1000-1100	1	0	1	1	100.00%
Secondary 1100-1200	0	0	0	0	0.00%
Secondary 1200-1300	0	0	0	0	0.00%
Secondary 1300-1400	0	0	0	0	0.00%
Grand Total	27	56	83	189	
	32.5%	67.5%	41.71%		

Primary Behaviour Support Service - Delegated or Retained Centrally

Phase	Delegate	Retain	Total responses by NOR Band	Total in Band	% Responses by Phase
Primary <100	1	11	12	39	30.77%
Primary 100-200	5	32	37	81	45.68%
Primary 200-300	5	17	22	48	45.83%
Primary 300-400	2	1	3	9	33.33%
Primary 400-500	1	1	2	3	66.67%
Primary 500-600	0	0	0	0	0.00%
Primary 600-700	0	0	0	0	0.00%
Secondary 200-300	0	0	0	0	0.00%
Secondary 300-400	0	0	0	1	0.00%
Secondary 400-500	1	0	1	1	100.00%
Secondary 500-600	0	0	0	2	0.00%
Secondary 600-700	1	1	2	2	100.00%
Secondary 700-800	0	0	0	0	0.00%
Secondary 800-900	0	0	0	1	0.00%
Secondary 900-1000	0	0	0	1	0.00%
Secondary 1000-1100	0	1	1	1	100.00%
Secondary 1100-1200	0	0	0	0	0.00%
Secondary 1200-1300	0	0	0	0	0.00%
Secondary 1300-1400	0	0	0	0	0.00%
Grand Total	16	64	80	189	
	20.0%	80.0%	40.20%		

Consultation Comments

Appendix 5

Type of School	£85k or £100k	£100k or £175k	Delegated or retained	S - £85k or £100k	S2 - £100k or £175k	S3 - Delegated or Retained	General
1 Secondary Academy		£100k			£100k - Equal for primary & secondary which will in turn deal with sparsity factors not being adopted by Wiltshire		
2 Primary	£85k		mixed			The level of support that the school has received from these services is very low. The departments concerned have obviously suffered reductions in the number of staff consequential reductions in the level of services they provide. We would like these services to be delegated out to schools, that we are enabled to obtain best value for this expenditure for our pupils	We wish once again to express our concern over the use of MFG formula & the resulting cap on some schools budget increase using the new funding formulae (with the aim of having a fairer national funding formulae). It is clear that central government's spending policies are targeted at reducing the number of unsustainably small schools, in order to achieve the best outcomes for children by educating them in schools large enough to obtain maximum efficiency for the resources expended. This appears to be laudable initiative, but is being thwarted by Wiltshire's attempt to artificially spoor small schools (without funding this fairly) thus reducing using the budget from other schools (thus reducing the funds available to spend on pupils in some school) In our opinion this is a short-term response, when the restructuring of Wiltshire's education system is clearly the required solution. The education needs of the county's children would be better served by allowing the unsustainable schools to reduce and to arrange transport as required. We reject the council's position that primary schools are 'necessary' when the nearest alternative is more than 2 miles away. This is clearly an unsustainable position.
3 Primary	£100k		retain	We are a small school with limited budget and therefore limited buying power. The larger the amount of money we can rely on the better			
4 Primary	£100k		mixed				How does the sparsity factor work if you are separate infant/junior schools but the only phase school with 2 miles? We are not below 145 pupils but it would seem unfair for both be classed as primary
5 Primary	£85k		retain	Having attended the consultation meeting on 12/9/13 I understand the need for the £85k lump sum		Essential that services such as behaviour support is retained centrally	
6 Secondary		£175k	retain			The school is a supporter of acquiring central services where these would be marketed by the local authority and those that remain closely aligned to the	The change in funding in the proposed financial shows a further year on year reduction in the funding made available to our school of around £36,850 per annum in 2014/15. The school recognises the potential value of national funding formula reviews but yet again we are hugely
7 Secondary		£175k	mixed		We feel £85 is an appropriate lump sum for the smallest primary and allows larger primaries to attract sufficient funding through AWPUs. We feel that £175 is an appropriate sum for the basic secondary school provision, given the average size of secondaries in Wiltshire.		
8 Secondary Academy		£175k					I thank you for the opportunity on the proposed changes for 2014-15, and thank you too for demonstrating the notional effect upon 2013-14 funding. With respect to this latter part, however, we have the following observations: Notwithstanding that this exercise is largely illustrative, your figures differ from our EFA GAG statement in the following ways (without prejudice): You show a rates element of £15,760 – This is not shown on our GAG statement You do not show an amount for English as an additional language (EAL) – Our GAG shows £3,616 You show a MFG amount of £182,965 – our GAG statement shows £184,194
9 Primary		£100k	mixed	As a relatively small school our numbers do not permit the increased AWPUs to offset a £15,000 reduction in funding. Our pupil numbers have increased recently to 123 but we would still need 51 more to balance this			
10 Secondary		£175k	retain			Schools will not be able to self fund these services with the small sum that will be delegated out, Maternity alone will cause major hardship	The lump sum appears to be taken back in part by decreasing other funding lines
11 Primary	£100k		retain				The proposal to further reduce the lump sum makes our already unviable financial position even worse. And this is despite assurances from the DfE that the national formula changes should not have the unintended consequences of closing small schools. When our MP raised the matter with the secretary of State last February, David Laws (Schools Minister) replied that "...we have said that local authorities should be allowed to allocate a lump sum to ensure that schools, especially small schools like xx (us), have a safety net knowing that their basic operating costs will be met....We are clear that budget changes should not be unmanageable for schools...." We recognise that no amount of tweaking of the formula will address the special, possibly unique, situation at xx (our school) and urge the authority to continue to take steps to find a way of securing our future viability.
12 Primary	£100k		retain	£100k is the best option for smaller schools			We were disappointed that Wiltshire did not implement the sparsity factor, it may have been complex but it would have helped small rural schools in the south of the county

13	Primary	£85k	retain	As our pupil numbers are rising we prefer a smaller lump sum which attracts a higher per pupil rate, which in turn, generates greater DSG for our school.			
14	Primary	£85k	retain	After attending the consultation briefing, we understand the reduction and allows an increase in the AWP. Although we did not positively benefit from this until our numbers are 174+		As a school, we benefit from EMAS, Traveller Education Service and Behaviour Support Services. If these funds were delegated, our worry would be the number of schools that did NOT buy into them, thus making them untenable within the LA. If these services were to cease we would have to source them elsewhere which could be potentially more expensive and of an inferior quality. They are vital to the pupils of our school.	The explanation as to why the LA was not using sparsity and mobility as a factor was well explained at the consultation briefing and we fully support this decision
15	Primary	£100k	retain			We are in favour of retaining these budgets/services centrally for 2 reasons, both of which impact particularly on small schools like ours: There is a hidden cost to each school if these are delegated, in terms of providing the time and expertise required to exercise diligence in ensuring best value, compliance and appropriate provision. If delegated, we would have to look at group arrangements (eg cluster) but there would still be significant additional overheads. We are concerned that delegation would result in WC services shrinking or disappearing completely, forcing us to source them elsewhere, exacerbating the first point above. When sourcing from third party suppliers, sustainability can also be a cause for concern.	Our preferences and comments are driven by our top priority as a small school, which is to maintain our viability, especially in the current economic climate, when budgets are tight. Staffing of fluctuating numbers on roll as far as possible, in order to provide consistent staffing and staff levels from year to year.
16	Primary	£100k	retain	As a small school with 120 on roll the negative impact of the lump sum falling is significant. Whilst the MFG would cushion the impact for the first year, it still equates to a lower level of funding. This is on top of the reduction in funding that was the outcome of the last funding reform.			
17	Primary	£100k	retain				We are a small village primary school, and our budget is already tight, so any further reduction will cause increased pressure on this budget, and would mean that cuts would be necessary, which may affect the quality of the children's education. In future years, if the MFG is reduced and the budget cut further the viability of the school would be in question. As a community, it is important for us to be able to offer children a school place in the village where they live
18	Primary	£100k	retain	Small school, tight budget already, fluctuating numbers on roll			The impact statement on the new proposals indicates a reduction in budget of £4,500 which will have an adverse impact on the school's capacity to deliver services/pay teaching assistants etc
19	Primary	£100k	retain	Headteacher and governing bodies of small schools are constantly under pressure to maintain numbers and compete with each other and not on children's education			
20	Primary	£100k	retain	We are a small school - hence choice stronger outcome for our budget & resourcing		Again as a small primary school we are hugely value these services being offered centrally.	
21	Primary	£85k	retain	These services need to be maintained. Delegation will mean they cannot be supported in the future.			I agree with not including mobility and sparsity for the reasons given in the consultation
22	Primary	£100k	retain			Particularly concerned about the viability of Behaviour support service should this be delegated. Very valuable service	
23	Primary	£85k	mixed	From the impact statement, it is that we will have a formula gain and therefore the impact of whatever we choose will be capped anyway. That said, we would prefer to keep the lump sum at the lower amount of £85k and then receive a higher per pupil amount.			
24	Primary	£100k	retain	If we accept the lump sum of £85k our overall loss would be £4,664			
25	Primary	£100k	retain	As a primary school head I don't feel I can do other than tick £100k. We all need more resources. However, reading through section A I rather assumed if the secondary schools were to receive £175k then the primaries would only get £85k. I believe this is probably the correct way round if it is a £85k-£175k limited pot.		As a new head, and a new to Wiltshire Head I feel strongly that these services are available to me but managed centrally. I have plenty to do already without having to go out and resource myself support for minority ethnic groups for example.	
26	Primary	£100k	mixed	Our small school has had a £5000+ funding cut last year & is forecast additional similar cut this year. The larger lump sum would benefit our school.		We find it particularly useful to have centrally retained behaviour support services, as a small school we would find it difficult to find the required level of services to help & support children with challenging behaviour and statements. We have a high level of need and the formula funding does not sufficiently cover the costs in the small school	Can consultation occur earlier in the year to aid budget planning. In future will there be viable alternatives and choice for individual schools rather than blanket funding.

27	Primary	£100k		retain	As a small rural primary, any reduction in this amount would have a significant impact on our budget	We value the above services and consider that these services need to be central to avoid additional costs to schools by private companies and additional bureaucracy for admin officers in small schools	As a school in a rural area and low social deprivation levels, we do not receive additional funding through FSM. We also have small numbers of pupil premium children. This years funding has led to redundancies and further reduction in the lump sum.
28	Primary	£100k		mixed	With rising rolls in primary schools the larger lump sum is more helpful when schools' budgets are trailing behind rising pupil numbers		
29	Secondary Academy		£100k			We are concerned about the reduction in AWPU. Although we would gain by the proposed change in the short term, maintaining the current level of AWPU is more important for long term budget stability than the value of the lump sum	
30	Primary	£100k		mixed	Helps stabilise funding between years. As an INFANTS 1/3 of school changes each year		
31	Primary	no vote		mixed			Everything you have suggested seems fair - I attended the roadshow and found the information and explanations to be most useful - consequently I have very little to add. I suppose my only query would be that of many heads - at what point will the LA not be viable in terms of supporting schools as well as it does - what will be the tripping point for academy conversion when remaining delegated funds are no longer sufficient. Don't worry am not expecting an answer! Many thanks for the wrk that has gone into this in a very short period
32	Primary	£100k		mixed	A larger guaranteed lump sum gives us more security in setting our budget, rather than having to rely on other factors to recoup the £15k difference between this and the lower figure	Our preferences above reflect our focus on delivering the best we can for each child on a personal basis. As a high maintenance administration school - mainstream plus 2 Resource Bases. We need to ensure that administration issue support the child and family directly. We therefore prefer to delegate mundane admin costs which do not need reference to context of each child to the Local authority. The areas we have expressed preference in de-delegating, are those where we wish to have more autonomy in choice of personnel deployed and the option to work more collaboratively within the cluster	
33	Secondary Academy		£175k			There should be greater differential between the lump sum offered to primary schools and to secondaries. Ideally an even larger figure than £175 should be made available but I realised this is not an option	I would agree with the decision not to include pupil mobility and sparsity within the formula. I am concerned at the aspect regarding lump sum allowances and whilst MFG provides some protection, I would have preferred a greater figure through this source
34	Secondary Academy		£175k			The lump sum should be applied to all secondary schools not on a pro-rotas basis as per the previous flat rate	
35	Primary Academy	£85k			Lower value will help the secondary schools have a higher value which is fairer due to size		

Summary of Funding Per Pupil under each lump sum option for Primary Schools

URN	LAESTAB	13-14 MFG NOR (NOR-reception)	£85k Formula funding pre-MFG	Per pupil funding NOR less reception	£100k Formula funding pre-MFG	Per pupil funding NOR less reception	Difference between two sets
126492	8655218	314.00	£1,108,329	£3,529.71	£1,123,329	£3,577.48	£47.77
126373	8653203	306.00	£1,061,938	£3,470.39	£1,076,938	£3,519.41	£49.02
126350	8653150	301.00	£1,085,867	£3,607.53	£1,100,867	£3,657.36	£49.83
126291	8652225	266.00	£975,409	£3,666.95	£990,409	£3,723.34	£56.39
126476	8655202	258.00	£987,614	£3,827.96	£1,002,614	£3,886.10	£58.14
126398	8653319	253.00	£882,382	£3,487.68	£897,382	£3,546.96	£59.29
126309	8653022	241.00	£872,715	£3,621.22	£887,715	£3,683.47	£62.24
138109	8655213	208.00	£732,929	£3,523.70	£747,929	£3,595.81	£72.12
126425	8653425	202.00	£746,731	£3,696.69	£761,731	£3,770.94	£74.26
137426	8655204	199.00	£705,742	£3,546.44	£720,742	£3,621.82	£75.38
126359	8653166	157.00	£601,530	£3,831.40	£616,530	£3,926.94	£95.54
126392	8653300	150.00	£577,631	£3,850.87	£592,631	£3,950.87	£100.00
126339	8653123	149.00	£593,805	£3,985.27	£608,805	£4,085.94	£100.67
126417	8653400	105.00	£408,834	£3,893.66	£423,834	£4,036.51	£142.86
126436	8653450	105.00	£419,238	£3,992.75	£434,238	£4,135.60	£142.86
126430	8653435	98.00	£394,622	£4,026.76	£409,622	£4,179.82	£153.06
126403	8653352	58.00	£262,897	£4,532.70	£277,897	£4,791.32	£258.62
126327	8653086	54.00	£254,323	£4,709.69	£269,323	£4,987.46	£277.78
138629	8652040	51.00	£250,691	£4,915.52	£265,691	£5,209.64	£294.12
126343	8653134	49.00	£234,912	£4,794.12	£249,912	£5,100.24	£306.12

Wiltshire Council

SCHOOLS FORUM

3rd October 2013

Minimum Funding Guarantee Exceptions

Purpose of the paper

1. To inform Schools Forum of the exceptions from the minimum funding guarantee in 2014-15, being sent to the Education Funding Agency for approval.
2. The exceptions were considered and recommended by the School Funding Working Group in order to meet the deadline for submission of 30th September 2013

Background

3. The EFA have invited local authorities to submit requests for exclusions from the MFG for specific factors, or schools. The EFA guidance states that exceptional requests to disapply the MFG would only be considered if there is a **significant** change in a school's circumstances or pupil numbers for example, if there has been additional funding in a school's 2013-14 formula budget for pupil number growth.
4. The EFA will only consider applications where the inclusion of a factor in the MFG will lead to **significant** inappropriate levels of protection. Authorities should, therefore, provide detailed information on the financial effect of the request. The EFA will not consider requests which seek to adjust historic protections.
5. The EFA do not define "significant".
6. The deadline to submit exceptions for approval is 30 September 2013.

Issues for consideration

7. Local authorities were invited to apply for exceptions within an earlier window but Wiltshire was not in a position to do so. Following this earlier window the DfE have published a list of requests for MFG exceptions that they have either approved and those that have been refused.

8. Officers have identified a number of funding elements in 2013-14 which require consideration. These are listed below and are summarised in the attached Appendix A.

Minimum Funding Guarantee Exceptions

9. **New school allowances and new school new year group funding**
– Paid to newly opened schools whilst numbers build up. Approval is sought for the LA to remove this funding from the MFG baseline. These factors will be funded from de-delegated budgets from April 2014.
10. **Rents, where the school no longer qualifies under the revised funding proposals** – Local authorities may apply for exceptional premises factors where the cost is greater than 1% of the school budget share.

Wiltshire currently funds the cost of hiring facilities where the school does not have adequate premises i.e. village halls for P.E. The EFA's permission has already been granted as to whether the LA could continue to fund rents where the cost exceeds 1% of a school's budget share, and approval has also been granted that for those schools where the LA currently funds rents that do not exceed 1% of their budget share, this factor is removed from the MFG baseline.

Wiltshire wishes to continue this arrangement into 2014-2015, but at the lower threshold of 0.75% of a school budget share and not the 1% as previously approved. Approval is sought to remove this factor from the MFG baseline (as in previous years) and at the lower level of 0.75%. The schools affected are listed on the attached Appendix.

11. **Split site funding where a school no longer qualifies** – During the year one school ceases operating from a split site and so will no longer qualify for split site funding. Approval is sought to remove this factor from the MFG baseline for that one school listed on the attached Appendix.
12. **Changes categories of, or spending on, central budgets** – Wiltshire is currently consulting on aspects of the new funding formula. Part of the consultation is seeking schools' views on the delegation and de-delegations of central budgets. The outcome of the consultation may result in changes to the delegations and de-delegations and so Wiltshire is seeking approval from the EFA to exclude new additional categories or changes in spend on central services budgets in anticipation, should the consultation recommend any such change. Further detail of any change will be available once the consultation closes.

13. Schools with special units – to seek approval from the EFA to amend the baselines of schools with special units to reflect the new deduction of places from the number on roll, rather than the number of pupils, in order to calculate MFG protection on a consistent basis.

14. Requests to vary the protection for special schools and academies – to seek approval from the EFA to allow amendment to the baseline of special schools and academies in order to not overfund the school via the MFG mechanism as day and residential pupil numbers have changed.

Wiltshire has previously explained to the EFA that we are embarking on a review of our special school top up values for next year and that it is likely that for day provision the values will increase and for residential provision the values will decrease – both in funding and number on roll.

The MFG considers whether if the LA place all of the pupils would the overall budget show a decrease of more than 1.5%. In reducing the number of residential places in a school, and increase the day places, then even if the top up values don't change there will potentially be a decrease in funding to that school – and the MFG calculation will compensate for that; in effect the MFG will continue to fund the previous mix of places even though that is no longer required. Wiltshire is seeking an MFG exception for this instance so we can work it out on the new place numbers.

Detailed work is underway on what this actually means for the schools and can be shared with the EFA once ready.

Recommendations

15. New school allowances and new school new year group funding:
To seek DfE approval to remove this funding from the MFG.

16. Rents, where the school no longer qualifies under the revised funding proposals - To seek approval from the EFA 1) to decrease the qualifying threshold from 1% to 0.75% of school budgets, and 2) to continue to remove rent from the MFG calculation.

17. Split site funding where a school no longer qualifies - To seek approval from the EFA to remove split site funding from the MFG where a school no longer qualifies under the revised definition.

18. Changes categories of, or spending on, central budgets – To seek approval from the EFA to exclude new additional categories or changes in spend on central services budgets should the consultation recommend such changes to the delegation or de-delegations.

19. Schools with special units – to seek approval from the EFA to amend the baselines of schools with special units to reflect the new deduction of places from the number on roll, rather than the number of pupils, in order to calculate MFG protection on a consistent basis.

20. Requests to vary the protection for special schools and academies – to seek approval from the EFA to allow amendment to the baseline of special schools and academies in order to not overfund the school via the MFG mechanism as day and residential pupil numbers have changed.

Unpublished documents relied upon in the production of this Report: NONE

Environmental impact of the recommendations contained in this Report:
NONE KNOWN

Report author: Liz Williams, Head of Finance
Tel: 01225 713675 e-mail: elizabeth.williams@wiltshire.gov.uk

MFG Exemptions

DfE	School	NOR Oct 12	13-14 Budget	New School Allowance	Split site funding where school no longer qualifies
3462	Amesbury Archer	261	£918,053	£177,910	
3017	Longford C.E. Primary	44	£289,658		£65,000

Schools eligible for rent payments - to be excluded from MFG calculations

DfE	School	NOR Oct 12	13-14 Budget	Rent	Proportion of budget
3071	Figheldean St Michael's CE Primary School	88	£377,592	£7,058	1.87%
2053	Horningsham Primary School	81	£336,099	£4,869	1.45%
5413	Bishop Wordsworth's School	600	£2,838,362	£38,513	1.36%
3110	LYDIARD MILLICENT C.E. PRIMARY	186	£630,109	£7,500	1.19%
3174	Sutton Veny C.E. School	174	£611,461	£6,400	1.05%
3049	Collingbourne C.E. School	118	£462,184	£4,084	0.88%
3047	Crockerton Primary School	92	£376,618	£3,135	0.83%
3013	Box C.E. Primary School	175	£618,039	£4,816	0.78%
3086	HEDDINGTON C.E. PRIMARY SCHOOL	54	£266,637	£2,066	0.77%
3100	Lacock CEVC Primary School	80	£340,615	£2,609	0.77%

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